Maduck Sean Form 4 December 14, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Maduck Sean

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

CORCEPT THERAPEUTICS INC [CORT]

(Check all applicable)

See Remarks

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title

Director

10% Owner \_ Other (specify

12/12/2018

below)

C/O CORCEPT THERAPEUTICS INCORPORATED, 149 **COMMONWEALTH DRIVE** 

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MENLO PARK, CA 94025

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secur	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/12/2018		M	44,991	A	\$ 2.61	65,015	D	
Common Stock	12/12/2018		M	15,009	A	\$ 3.29	80,024	D	
Common Stock	12/12/2018		S	60,000	D	\$ 19.0061 (1)	20,024	D	
Common Stock	12/13/2018		M	10,000	A	\$ 2.24	30,024	D	

# Edgar Filing: Maduck Sean - Form 4

Common Stock	10,000	I	See Footnote
Common Stock	10,000	I	See Footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 2.61	12/12/2018		M		44,991	<u>(4)</u>	08/07/2024	Common Stock	44,991
Stock Option (Right to Buy)	\$ 3.29	12/12/2018		M		15,009	<u>(5)</u>	02/18/2025	Common Stock	15,009
Stock Option (Right to Buy)	\$ 2.24	12/13/2018		M		10,000	<u>(4)</u>	11/01/2022	Common Stock	10,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Maduck Sean			See Remarks			
C/O CORCEPT THERAPEUTICS INCORPORATED						
149 COMMONWEALTH DRIVE						

Reporting Owners 2

### MENLO PARK, CA 94025

# **Signatures**

/s/ Sean Nicholas Maduck 12/14/2018

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the weighted average sale price for the entire number of shares sold. The actual sale prices range from \$18.75 to \$19.48 per share. Detailed information on the exact number of shares sold at each sale price can be obtained from the issuer upon request.
- (2) Represents the shares held by Duckhill Capital, LLC of which the reporting person is President and disclaims beneficial ownership of the shares except to the extent of his pecuniary interest therein.
- (3) Represents the shares held by Sean and Molly Maduck Living Trust of which the reporting person is a co-trustee.
- (4) Fully exercisable.
- Shares subject to this stock option vest and become exercisable at the rate of 1/48th of the total number of shares on each monthly (5) anniversary of February 18, 2015, so that the total number of shares subject to this option becomes fully vested and exercisable on February 18, 2019.

#### **Remarks:**

Senior Vice President, Commercial

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3