Edgar Filing: Emergent BioSolutions Inc. - Form 4

Emergent B Form 4 May 25, 201	ioSolutions Inc.										
FORM	ЛЛ								OMB AF	PROVAL	
	UNITED	STATES					NGE C	OMMISSION	OMB	3235-0287	
Check th	his box		Wa	shington,	D.C. 20	549			Number:	January 31,	
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may con See Instr	lunue.			vestment	•	- ·			1		
1(b).	luction										
(Print or Type Responses)											
El Llibri Erro d				r Name and	Ticker or	Fradin	ıg	5. Relationship of Reporting Person(s) to Issuer			
			Symbol Emerge	ent BioSol	utions In	c. [E]	BS]				
			3. Date o	f Earliest Tr	ansaction			(Check all applicable)			
				Day/Year)				XDirectorX10% Owner XOfficer (give titleOther (specify			
SUITE 400	ESSIONAL DRIV	E,	05/23/2	.016				below) below) Chairman			
	(Street)		4 TE A	and an and Da	4- O-1-1-1					-(01 1	
	(Succi)			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
		70		•				_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
GAITHER	SBURG, MD 208	/9						Person		8	
(City)	(State)	(Zip)	Tab	le I - Non-D	Derivative S	Securi	ties Acqu	iired, Disposed of	, or Beneficiall	y Owned	
1.Title of	2. Transaction Date		1					5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution any	n Date, if	Transactio Code	ion(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially	Ownership Form: Direct	Indirect Beneficial	
		Day/Year)	(Instr. 8)			Owned Following		Ownership (Instr. 4)			
								Following Reported	Indirect (I) (Instr. 4)	1) (Insu. 4)	
						(A) or		Transaction(s) (Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price ¢	(Insu: 5 and 4)			
Common Stock	05/23/2016			M <u>(1)</u>	20,000	А	\$ 15.91	1,719,073	D		
Common							\$				
Stock	05/23/2016			S <u>(1)</u>	20,000	D	42.76 (2)	1,699,073	D		
C							<u>(-)</u>				
Common Stock	05/23/2016			S <u>(1)</u>	25,000	D	\$ 42.5	1,674,073	D		
Common							\$				
Stock	05/24/2016			M <u>(1)</u>	20,000	А	, 15.91	1,694,073	D		
Common	05/24/2016			S (1)	20,000	D	\$	1,674,073	D		
Stock							42.26				

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					(3)			
Common Stock	05/25/2016	M <u>(1)</u>	20,000	А	\$ 15.91	1,694,073	D	
Common Stock	05/25/2016	S <u>(1)</u>	20,000	D	\$ 42.57 (4)	1,674,073	D	
Common Stock						2,350,331 <u>(5)</u>	Ι	By Intervac, L.L.C.
Common Stock						1,524,155 <u>(6)</u>	Ι	By Biovac, L.L.C.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 15.91	05/23/2016		M <u>(1)</u>		20,000	<u>(7)</u>	03/08/2017	Common Stock	20,000
Employee Stock Option (right to buy)	\$ 15.91	05/24/2016		M <u>(1)</u>		20,000	<u>(7)</u>	03/08/2017	Common Stock	20,000
Employee Stock Option (right to	\$ 15.91	05/25/2016		M <u>(1)</u>		20,000	(7)	03/08/2017	Common Stock	20,000

buy)

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
El-Hibri Fuad 400 PROFESSIONAL DRIVE, SUITE 400 GAITHERSBURG, MD 20879	Х	Х	Chairman				
Signatures							
/s/ Carl A. Valenstein, attorney-in-fact 05	5/25/2016						
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported herein were effectuated by the Reporting Person pursuant to two separate trading plans adopted on February 29, 2016, each of which are intended to comply with Rule 10b5-1(c) under the Exchange Act.

(2) The selling price of \$42.76 is reflective of the weighted average sale price of all transactions reported on this line. The prices for the transactions reported on this line range from \$42.29 to \$42.85, inclusive. The Reporting Person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(3) The selling price of \$42.26 is reflective of the weighted average sale price of all transactions reported on this line. The prices for the transactions reported on this line range from \$41.58 to \$42.49, inclusive. The Reporting Person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(4) The selling price of \$42.57 is reflective of the weighted average sale price of all transactions reported on this line. The prices for the transactions reported on this line range from \$42.15 to \$42.93, inclusive. The Reporting Person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

Mr. El-Hibri's holdings through Intervac, L.L.C. include 1,638,403 shares of Common Stock held by Mr. El-Hibri and his wife, as tenants by the entirety, through their 37.7% equity interest in Intervac, L.L.C.; 127,721 shares held by Mr. El-Hibri's wife; and 584,207 shares

(5) by the entirety, inloging their 37.7% equity interest in intervae, E.E.C., 127,721 shares ned by Mr. El-Hibri s wire, and 304,207 shares held by trusts indirectly controlled by Mr. El-Hibri or his wife. Mr. El-Hibri disclaims beneficial ownership, for purposes of Section 16 of the Exchange Act or otherwise, of those shares held solely by his wife and those shares held by the trusts.

Mr. El-Hibri holds individually and with his wife, as tenants by the entirety, an aggregate 89.2% equity interest in Biovac, L.L.C. Biovac,
(6) L.L.C. is the direct owner of 1,524,155 shares of Common Stock. Mr. El-Hibri disclaims beneficial ownership of the shares of Common Stock directly owned by Biovac, L.L.C. for purposes of Section 16, except to the extent of his pecuniary interest in 1,359,546 shares.

(7) The options vested in three equal installments on March 9, 2011, March 9, 2012 and March 9, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.