

PERKINELMER INC  
Form 4  
May 17, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**FRIEL ROBERT F**

(Last) (First) (Middle)

**940 WINTER STREET**

(Street)

**WALTHAM, MA 02451**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**PERKINELMER INC [PKI]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**05/13/2016**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 05/13/2016                              |                                                             | M                                    | V Amount (A) or (D) Price<br>7,422 A \$ 21.005                          | 569,953                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       | 05/13/2016                              |                                                             | S <sup>(1)</sup>                     | 7,422 D \$ 55.01 <sup>(2)</sup>                                         | 562,531                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       | 05/16/2016                              |                                                             | M                                    | 101,578 A \$ 21.005                                                     | 664,109                                                                                                            | D                                                                       |                                                                   |
| Common<br>Stock                       | 05/16/2016                              |                                                             | S <sup>(1)</sup>                     | 101,578 D \$ 55.01 <sup>(3)</sup>                                       | 562,531                                                                                                            | D                                                                       |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                 | Date<br>Exercisable<br>Expiration<br>Date                      | Title<br>Amount or<br>Number of<br>Shares                           |
| NQ<br>Stock<br>Option<br>(right to<br>buy)          | \$ 21.005                                                          | 05/13/2016                              |                                                             | M                                    | 7,422                                                                                                     | <sup>(4)</sup> 02/09/2017                                      | Common<br>Stock 7,422                                               |
| NQ<br>Stock<br>Option<br>(right to<br>buy)          | \$ 21.005                                                          | 05/16/2016                              |                                                             | M                                    | 101,578                                                                                                   | <sup>(4)</sup> 02/09/2017                                      | Common<br>Stock 101,578                                             |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships |           |                   |       |
|----------------------------------------------------------|---------------|-----------|-------------------|-------|
|                                                          | Director      | 10% Owner | Officer           | Other |
| FRIEL ROBERT F<br>940 WINTER STREET<br>WALTHAM, MA 02451 | X             |           | President and CEO |       |

## Signatures

/s/ John L. Healy (POA on file) for Robert F.  
Friel 05/17/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a 10b5-1 trading plan adopted by Mr. Friel on March 4, 2016.
- (2) The price reported represents a weighted average sale price of shares sold in multiple transactions at prices ranging from \$55.00 to \$55.04. The Reporting Person hereby undertakes, upon request of the Staff of the U.S. Securities and Exchange Commission, the Issuer,

## Edgar Filing: PERKINELMER INC - Form 4

or a security holder of the Issuer, to provide full information regarding the number of shares sold at each separate price.

The price reported represents a weighted average sale price of shares sold in multiple transactions at prices ranging from \$55.00 to

- (3) \$55.06. The Reporting Person hereby undertakes, upon request of the Staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer, to provide full information regarding the number of shares sold at each separate price.
- (4) This option became exercisable in three equal annual installments beginning on February 9, 2011, which was the first anniversary of the date on which the option was granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.