#### Edgar Filing: PIMCO CORPORATE & INCOME STRATEGY FUND - Form 3

#### PIMCO CORPORATE & INCOME STRATEGY FUND

Form 3

March 11, 2016

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  UBS Group AG	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol PIMCO CORPORATE & INCOME STRATEGY FUND [PCN]						
(Last) (First) (Middle)	11/20/2015	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)			
BAHNHOFSTRASSE 45, PO BOX CH-8021		(Check	(Check all applicable)					
(Street)		Director Officer (give title below	_X10% (Online of the control of th		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting			
ZURICH, V8Â					Person Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned								
1.Title of Security (Instr. 4)	2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	•			
Auction Preferred Stock (3)	475 <u>(2)</u>		I	By su	ubsidiary - see footnote (1)			
Reminder: Report on a separate line for ea	ch class of securities benefic	ially S	EC 1473 (7-02)	)				

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title		•	

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Date Expiration Amount or Security Direct (D)
Exercisable Date Number of or Indirect
Shares (I)
(Instr. 5)

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### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

UBS Group AG

BAHNHOFSTRASSE 45 PO BOX CH-8021 ZURICH, V8Â

# **Signatures**

s/ John Lindley, Associate Director, UBS Group AG 03/11/2016

\*\*Signature of Reporting Person Date

ÂXÂ

/s/ William Chandler, Managing Director, UBS
Group AG
03/11/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Statement is filed jointly by UBS Group AG for the benefit and on behalf of UBS Securities LLC and UBS Financial Services
  Inc., two-wholly owned subsidiaries of UBS Group AG to which UBS Group AG has delegated portions of its performance obligations with respect to the Auction Rate Securities Rights issued by UBS Group AG to certain clients and pursuant to which the securities reported herein have been purchased from such clients.
- Pursuant to the Global Relief Letter referred to below, this filing reports holdings of the Series of Auction Preferred Stock identified in Item 1 of this Table I on an aggregated basis.
- (3) (CUSIP No[s]. [72200U209, 72200U308, 72200U407, 72200U506, 72200U605])

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#### Remarks

The Shares reported herein represent UBS Group AG's combined holdings in multiple series of auction Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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