

INTEVAC INC
Form 3
November 04, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Å Northern Right Capital Management, L.P.

(Last) (First) (Middle)

500 CRESCENT COURT, SUITE 230,Å

(Street)

DALLAS,Å TXÅ 75201

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
08/04/2015

3. Issuer Name and Ticker or Trading Symbol
INTEVAC INC [IVAC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Member of 13D 10% Group

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	1,652,022	I	See Footnotes <u>(1)</u> <u>(4)</u> <u>(5)</u>
Common Stock	256,384	I	See Footnotes <u>(2)</u> <u>(4)</u> <u>(5)</u>
Common Stock	354,566	I	See Footnotes <u>(3)</u> <u>(4)</u> <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: INTEVAC INC - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Northern Right Capital Management, L.P. 500 CRESCENT COURT, SUITE 230 DALLAS, TX 75201	^	^	^	Member of 13D 10% Group
Northern Right Capital (QP), L.P. 500 CRESCENT COURT, SUITE 230 DALLAS, TX 75201	^	^	^	Member of 13D 10% Group
BECKER DRAPKIN PARTNERS, L.P. 500 CRESCENT COURT, SUITE 230 DALLAS, TX 75201	^	^	^	Member of 13D 10% Group
BC Advisors LLC 500 CRESCENT COURT, SUITE 230 DALLAS, TX 75201	^	^	^	Member of 13D 10% Group
Becker Steven R C/O NORTHERN RIGHT CAPITAL MANAGEMENT, L 500 CRESCENT COURT, SUITE 230 DALLAS, TX 75201	^	^	^	Member of 13D 10% Group

Signatures

NORTHERN RIGHT CAPITAL MANAGEMENT, L.P., By: BC Advisors, LLC, its general partner, By: /s/ Matthew A. Drapkin, Name: Matthew A. Drapkin, Title: Authorized Signatory, By: /s/ Steven R. Becker, Name: Steven R. Becker, Title: Authorized Signatory	11/04/2015
**Signature of Reporting Person	Date
NORTHERN RIGHT CAPITAL (QP), L.P., By: Northern Right Capital Management, L.P., its general partner, By: BC Advisors, LLC, its general partner, By: /s/ Matthew A. Drapkin, Name: Matthew A. Drapkin, Title: Authorized Signatory	11/04/2015
**Signature of Reporting Person	Date
BECKER DRAPKIN PARTNERS, L.P., By: Becker Drapkin Management, L.P., its general partner, By: BC Advisors, LLC, its general partner, By: /s/ Matthew A. Drapkin, Name: Matthew A. Drapkin, Title: Authorized Signatory, By: /s/ Steven R. Becker, Name: Steven R	11/04/2015
**Signature of Reporting Person	Date
BC ADVISORS, LLC, By: /s/ Matthew A. Drapkin, Name: Matthew A. Drapkin, Title: Authorized Signatory, By: /s/ Steven R. Becker, Name: Steven R. Becker, Title: Authorized	11/04/2015

Signatory

__Signature of Reporting Person

Date

STEVEN R. BECKER, /s/ Steven R. Becker

11/04/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents Common Stock directly held by Northern Right Capital (QP), L.P. ("NRC QP").
- (2) Represents Common Stock directly held by Becker Drapkin Partners, L.P. ("Becker Drapkin, L.P.").
- (3) Represents Common Stock directly held by a managed account on behalf of an investment advisory client (the "Managed Account") of Northern Right Capital Management, L.P. ("NRC Management").

NRC Management, as the general partner of, and investment manager for, NRC QP and Becker Drapkin, L.P. and the investment manager for the Managed Account, may be deemed to beneficially own the securities described in footnotes (1), (2) and (3). BC

- (4) Advisors, LLC ("BCA"), as the general partner of NRC Management, and Steven R. Becker and Matthew A. Drapkin, as the sole members of BCA and limited partners of NRC Management, may in each case be deemed to be beneficial owners of the securities described in footnotes (1), (2) and (3).

NRC Management disclaims any beneficial ownership of all of the Common Stock listed herein, except to the extent of any pecuniary interest therein. NRC QP disclaims beneficial ownership of the Common Stock described in footnotes (2) and (3). Becker Drapkin, L.P.

- (5) disclaims beneficial ownership of the Common Stock described in footnotes (1) and (3). BCA disclaims beneficial ownership of all of the Common Stock listed herein, except to the extent of any pecuniary interest therein. Mr. Drapkin and Mr. Becker disclaim beneficial ownership of all of the Common Stock described herein, except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.