

Edgar Filing: SMITH CLYDE W JR - Form 4

SMITH CLYDE W JR  
Form 4  
April 14, 2003

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

OMB APPROVAL  
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act 1940

|  |         |       |   |  |                                  |
|--|---------|-------|---|--|----------------------------------|
| 1. Name and Address of Reporting Person* |         |       | 2. Issuer Name and Ticker or Trading Symbol                                   |  | 6. Relationship Person (check a) |
|  |         |       | Swift Energy Company (SFY)  |  |                                  |
| Smith, Jr.                               | Clyde   | W.    | 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) | 4. Statement for Month/Day/Year                    | X Dire                           |
| (Last)                                   | (First) | (MI)  |   | 04/11/03   | ---                              |
| 16825 Northchase Drive, Suite 400        |         |       |   |  | Offi                             |
| (Street)                                 |         |       |   |  | ---                              |
| Houston                                  | Texas   | 77060 |   | 5. If Amendment, Date of Original (Month/Day/Year) | (giv                             |
| (City)                                   | (State) | (Zip) |   |  | bel                              |
|  |         |       |   |  | -----                            |
|  |         |       |   |  | 7. Indivi                        |
|  |         |       |   |  | Filing                           |
|  |         |       |   |  | Line)                            |
|  |         |       |   |  | X For                            |
|  |         |       |   |  | ---                              |
|  |         |       |   |  | For                              |
|  |         |       |   |  | ---                              |
|  |         |       |   |  | Rep                              |

Table I - Non-Derivative Securities Acquired, Disposed of or B

| 1. Title of Security (Instr.3) | 2. Transaction Date (Month/Day/Yr) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr.8) | 4. Security Acquired (A) or Disposed of (D) (Inst.3, 4 & 5) | 5. Amount (Ins) |
|--------------------------------|------------------------------------|--|-------------------------------|---|-----------------|
|                                |                                    |  | Code V                        | Amount (A) or (D) Price                                     |                 |
| Common Stock                   | 04/11/03                           |  | P                             | 1,000 A \$7.78  |                 |

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Page 1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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 Table II - Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned (e.g., puts, calls,  
 warrants, options, convertible securities)  
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| 1. Title of<br>Derivative<br>Security<br>(Instr.3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date (Month<br>Day/Year) | 3A. Deemed<br>Execution<br>Date, if<br>any<br>(Month/<br>Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5 |
|--|--|--|--|--------------------------------------|---|
|  |  |  |  | Code                                 | V |

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| 6. Date Exercisable<br>& Expiration Date<br>(Mon./Day/Year) | 7. Title & Amount of<br>Underlying Securities<br>(Inst. 3 & 4) | 8. Price<br>of Deriv-<br>ative<br>Secur-<br>ity<br>(Instr. 5) | 9. Number<br>of Deriv-<br>ative<br>Secur-<br>ities<br>Bene-<br>ficially<br>Owned<br>Follow-<br>ing<br>Reported<br>Trans-<br>action(s) | 1 |
|---|--|---|---|---|
|   |  |   | (Instr. 4)  |   |

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 Date                      Expira-                      Title                      Amount or number

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| Exer-<br>cisable | tion<br>Date |       | of Shares |       |       |
|------------------|--------------|-------|-----------|-------|-------|
| -----            | -----        | ----- | -----     | ----- | ----- |
| -----            | -----        | ----- | -----     | ----- | ----- |
| -----            | -----        | ----- | -----     | ----- | ----- |

Explanation of Responses:

(1) The reporting person disclaims beneficial ownership of these securities, and this report shall state that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Donald W. Bro  
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\*\*Signature of Re  
For Clyde W. Sm  
Confirming Stat

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.