St Clair Joyce Form 4/A February 13, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

02/06/2013

(Print or Type Responses)

| 1. Name and Address of Reporting Person * St Clair Joyce |   |  | suer Name <b>and</b> Ticker or Trading                | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|--|---|--|---|---|--|--|--|
|  |   | NOR<br>[NTI  | RTHERN TRUST CORP<br>RS]                              | (Check all applicable)  |  |  |  |
| (Last)   | (First) (I                              | ,  | te of Earliest Transaction                            | Director 10% Owner X Officer (give title Other (specify   |  |  |  |
| 50 SOUTH LASALLE STREET                                  |   |  | th/Day/Year)<br>6/2013                                | below)  President/O & T   |  |  |  |
|  | (Street)                                | Filed(   | Amendment, Date Original<br>Month/Day/Year)<br>8/2013 | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person   |  |  |  |
| CHICAGO, IL 60603  |   |  | 0/2013  | Form filed by More than One Reporting Person  |  |  |  |
| (City)   | (State)                                 | (Zip) T  | <b>Cable I - Non-Derivative Securities</b> A          | cquired, Disposed of, or Beneficially Owned   |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                     | 2. Transaction Date<br>(Month/Day/Year) | e 2A. Deemed<br>Execution Date,<br>any<br>(Month/Day/Yea | Code (Instr. 3, 4 and 5)                              | O) Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) |  |  |  |
| Common<br>Stock  | 02/06/2013                              |  | Code V Amount (D) Pri  M(1) 5,000 A \$ 49.            | 26 414 I By Trust   |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(2)}$ 

5,000

D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

21,414

I

\$ 52

By Trust

#### Edgar Filing: St Clair Joyce - Form 4/A

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                      |
|---|---|---|---|---|-------|--|--------------------|---|--------------------------------------|
|   |   |   |   | Code V  | ŕ     | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amoun<br>or<br>Numbe<br>of<br>Shares |
| Employee<br>Stock Option<br>(right-to-buy)          | \$ 49.12  | 02/06/2013                              |   | M <u>(1)</u>  | 5,000 | (3)  | 02/17/2014         | Common<br>Stock   | 5,000                                |

## **Reporting Owners**

| Paparting Owner Name / Address | Relationships |
|--------------------------------|---------------|
| Deporting Owner Name / Address | -             |

Director 10% Owner Officer Other

St Clair Joyce

50 SOUTH LASALLE STREET President/O & T

CHICAGO, IL 60603

## **Signatures**

Paul A. Bernacki, Attorney-in-Fact for Joyce St.

Clair 02/13/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option exercise was made pursuant to a written plan adopted in accordance with SEC Rule 10b5-1.
- (2) This sale was made pursuant to a written plan adopted in accordance with SEC Rule 10b5-1.
- (3) This option became excercisable in four annual installments beginning 2/17/2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2