

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-A

August 29, 2013

PAGE 1
000 A000000 06/30/2013
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 U
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 EAST MICHIGAN STREET
002 B000000 MILWAUKEE
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BULLDOG INVESTORS, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
PAGE 2
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

015 E010001 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 MERLIN ADVISORS, INC.
 020 C000001 36
 020 A000002 CANTOR FITZGERALD & CO.
 020 C000002 25
 020 A000003 WALLACH BETH
 020 C000003 12
 020 A000004 RAYMOND JAMES & ASSOCIATES, INC.
 020 C000004 7
 020 A000005 MAXIM FINANCIAL CORP.
 020 C000005 3
 020 A000006 WELLS FARGO BANKS N.A.
 020 C000006 3
 020 A000007 PERSHING LLC
 020 C000007 2
 020 A000008 J.P. MORGAN CHASE
 020 C000008 2
 020 A000009 JONES & ASSOCIATES, INC.
 020 C000009 1
 020 A000010 LEGEND CAPITAL MANAGEMENT
 020 C000010 1
 021 000000 94
 022 A000001 NATIONAL FINANCIAL SERVICE CORP.
 022 C000001 5657
 022 D000001 0
 022 A000002 CEDE
 022 C000002 3894
 022 D000002 0
 022 A000003 MAXIM FINANCIAL CORP.
 022 C000003 1745
 022 D000003 0
 022 A000004 CITIGROUP GLOBAL MARKETS, INC.
 022 C000004 1551
 022 D000004 0
 022 A000005 LADENBURG THALMAN & CO.
 022 C000005 1424
 022 D000005 0
 022 A000006 CHARDAN CAPITAL MARKETS, LLC
 022 C000006 299
 022 D000006 662
 022 A000007 PERSHING, LLC
 022 C000007 903
 PAGE 3
 022 D000007 0
 022 A000008 CREDIT RESEARCH & TRADING, LLC
 022 C000008 787
 022 D000008 0
 022 A000009 WEDBUSH MORGAN SECURITIES, INC.
 022 C000009 185
 022 D000009 0
 022 A000010 NUMIS SECURITIES, INC.
 022 C000010 183
 022 D000010 0
 023 C000000 16805
 023 D000000 662
 024 000000 N
 025 D000001 0
 025 D000002 0
 025 D000003 0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

| | | |
|-----|---------|------|
| 025 | D000004 | 0 |
| 025 | D000005 | 0 |
| 025 | D000006 | 0 |
| 025 | D000007 | 0 |
| 025 | D000008 | 0 |
| 026 | A000000 | N |
| 026 | B000000 | Y |
| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| 028 | A030000 | 0 |
| 028 | A040000 | 0 |
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |
| 028 | D040000 | 0 |
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| | PAGE 4 | |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

042 H000000 0
 043 000000 0
 044 000000 0
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 1.000
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 PAGE 5
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 N
 056 000000 N
 057 000000 Y
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0

PAGE 6

063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 Y
070 P020000 N
070 Q010000 Y

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

070 Q020000 N
 070 R010000 Y
 070 R020000 Y
 071 A000000 55071
 071 B000000 53397

PAGE 7

071 C000000 159697
 071 D000000 33
 072 A000000 6
 072 B000000 190
 072 C000000 5461
 072 D000000 0
 072 E000000 0
 072 F000000 830
 072 G000000 49
 072 H000000 22
 072 I000000 9
 072 J000000 12
 072 K000000 0
 072 L000000 18
 072 M000000 84
 072 N000000 14
 072 O000000 0
 072 P000000 0
 072 Q000000 12
 072 R000000 21
 072 S000000 41
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 24
 072 X000000 1136
 072 Y000000 0
 072 Z000000 4515
 072AA000000 4379
 072BB000000 0
 072CC010000 2737
 072CC020000 0
 072DD010000 0
 072DD020000 557
 072EE000000 0

073 A010000 0.0000
 073 A020000 0.0800
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 16
 074 B000000 0
 074 C000000 2084
 074 D000000 1092
 074 E000000 11768
 074 F000000 26562
 074 G000000 0
 074 H000000 0
 074 I000000 124750
 074 J000000 3373
 074 K000000 0
 074 L000000 326

PAGE 8

074 M000000 3121
 074 N000000 173092
 074 O000000 408
 074 P000000 140

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

| | | |
|-----------|--------------|-----------------|
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 2647 |
| 074 | R030000 | 0 |
| 074 | R040000 | 37603 |
| 074 | S000000 | 0 |
| 074 | T000000 | 132294 |
| 074 | U010000 | 7060 |
| 074 | U020000 | 0 |
| 074 | V010000 | 18.74 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 182 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 129951 |
| 076 | 000000 | 16.93 |
| 077 | A000000 | N |
| 078 | 000000 | N |
| 086 | A010000 | 250 |
| 086 | A020000 | 3962 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 84741T104 |
| 087 | A030000 | SPE |
| 087 | B010000 | PREFERRED STOCK |
| 087 | B020000 | 84741T203 |
| 087 | B030000 | SPE PFD |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | Y |
| SIGNATURE | ANDREW DAKOS | |
| TITLE | PRESIDENT | |