Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDU	STRIES INC										
Form 4 October 12, 20)12										
									OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this if no longer	r								Expires:	January 31, 2005	
subject to	SIAIE	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP						NERSHIP OF	Estimated average		
Section 16. Form 4 or		SECURITIES							burden hou response	•	
Form 5	Filed pu	ursuant to	Section 16	(a) of the	e Securiti	es Ex	chang	ge Act of 1934,	100001100111	0.0	
obligations may contin				•	.			f 1935 or Sectio	n		
<i>See</i> Instruc 1(b).	tion	30(h)	of the Inv	estment (Company	/ Act	of 19	40			
(Print or Type Re	sponses)										
			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]				g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
							STE]				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Click	ek an application	-)		
1725 SHEPHERD RD			(Month/Day/Year) 10/11/2012					Director 10% Owner X Officer (give title Other (specify			
								below) below) President-Astec Mobile Screens			
(Street) 4.			4. If Amer	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
Filed(N				ed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
CHATTANO	OGA, TN 374	421						Person	viore than One Ke	eporting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executi any	emed on Date, if /Day/Year)	Code (Instr. 8)		(A) o of (D 4 and (A) or) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock				Code V	Amount	(D)	Price		I	by 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) Disposed of ((Instr. 3, 4, at 5)) or (D)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(2)</u>	10/11/2012		А	102.9818		(2)	(2)	Common Stock	102.9818

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Reporting Owners

Reporting Owner Name / Address	Relationships						
• •	irector	10% Owner	Officer	Other			
GONIGAM TIMOTHY D 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Astec Mobile Screens				
Signatures							
Robert Taylor, attorney in fact for Tin Gonigam	mothy	1	0/12/2012				

<u>**Signature of Reporting Person</u>	Date
_Signature of Reporting Person	Dute

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares owned in reporting person's 401(k) account are based upon a Plan statement dated October 12, 2012.
- (2) Reported transaction represents Astec's quarterly contribution to the reporting person's SERP which was used to purchase Astec stock. Funds held in the SERP are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.