**MOOG INC** Form 4 November 28, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* JOHNSON WARREN C

2. Issuer Name and Ticker or Trading Symbol

Issuer

MOOG INC [MOGA/MOGB]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

P.O. BOX 189, 13133 BEAR ROAD

11/27/2012

Director X\_ Officer (give title below)

Other (specify below)

10% Owner

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Vice President

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

D

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WALES CENTER, NY 14169

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) (Instr. 4)

Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Class A Common

(City)

Code V Amount (D) Price

40,367

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

# Edgar Filing: MOOG INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (or Dispose (D) (Instr. 3, 4 and 5)	e (A) ed of	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 19.74						(2)	12/02/2013	Class A Common	20,250
Option to Buy	\$ 28.01						(3)	11/30/2014	Class A Common	20,250
Option to Buy	\$ 28.94						11/29/2015	11/29/2015	Class A Common	20,250
Option to Buy	\$ 36.67						11/28/2009	11/28/2016	Class A Common	20,250
Option to Buy	\$ 42.45						11/26/2010	11/26/2017	Class A Common	20,250
SAR (4)	\$ 35.12						(5)	10/31/2018	Class A Common	20,500
SAR (4)	\$ 26.66						<u>(6)</u>	12/01/2019	Class A Common	15,375
SAR (4)	\$ 36.86						<u>(7)</u>	11/30/2020	Class A Common	20,500
SAR (4)	\$ 41.82						(8)	11/30/2021	Class A Common	20,500
SAR (4)	\$ 36.41	11/27/2012		A	20,500		<u>(9)</u>	11/27/2022	Class A Common	20,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Troporting of their remarks and the rema	Director	10% Owner	Officer	Other			
JOHNSON WARREN C							
P.O. BOX 189			Vice				
13133 BEAR ROAD			President				
WALES CENTER, NY 14169							

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# **Signatures**

Timothy P. Balkin 11/28/2012

\*\*Signature of
Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan.
- (2) Option exercisable as follows: 2,451 on 12/2/08, 5,066 on 12/2/09, 5,065 on 12/2/10, 5,065 on 12/2/11 and 2,603 on 12/2/12.
- (3) Option exercisable as follows: 1,736 on 11/30/12, 3,568 on 11/30/2013 and 14,946 on 11/30/2014.
- (4) Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- (5) SAR exercisable as follows: 6,834 on 10/31/09, 6,833 on 10/31/10 and 6,833 on 10/31/11.
- (6) SAR exercisable as follows: 5,125 on 12/1/10, 5,125 on 12/1/11 and 5,125 on 12/1/12.
- (7) SAR exercisable as follows: 6,834 on 11/30/11, 6,833 on 11/30/12 and 6,833 on 11/30/13.
- (8) SAR exercisable as follows: 6,834 on 11/30/12, 6,833 on 11/30/13 and 6,833 on 11/30/14.
- (9) SAR is exercisable as follows: 6,834 on 11/27/2013, 6,833 on 11/27/2014 and 6,833 on 11/27/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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