#### FLEETBOSTON FINANCIAL CORP

Form 4

January 03, 2003

### FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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**OMB APPROVAL** 

| 1. Name and A  Dunphy T. J. 1         |  |                    | me <b>and</b> Tic<br><b>Financial</b> |  | 6. Relationship of<br>Person(s)<br>to Issuer (Check   |  |   |  |                 |   |  |  |
|---------------------------------------|--|--------------------|---------------------------------------|--|---|--|---|--|-----------------|---|--|--|
| (Last)  Kildare Enter                 | of Reporting Person,                                 |                    |                                       |  |   | Statement for<br>onth/Day/Year<br>01/03          | X Director  |  |                 |   |  |  |
| Liberty Corne                         | ļ  |                    |                                       |  |   | f Amendment,<br>te of Original<br>onth/Day/Year) | 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person |  |                 |   |  |  |
| (City                                 | (City) (State) (Zip)                                 |                    |                                       |  |   | )<br>erivat                                      | ive Sec   | curities Acquired, Disposed of, or Beneficially Owned  |                 |   |  |  |
| 1. Title of<br>Security<br>(Instr. 3) | 2. Trans-<br>action<br>Date<br>(Month/ Day/<br>Year) | Execution<br>Date, | action Code                           |  | 4. Securitie<br>(A) or Disp<br>(Instr. 3, 4<br>Amount | osed o   |   | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4) | or Indirect (I) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

|             |            |           | 0/1 /     |         | , ,            | ,                   |                |             |                |           |           |
|-------------|------------|-----------|-----------|---------|----------------|---------------------|----------------|-------------|----------------|-----------|-----------|
| 1. Title of | 2. Conver- | 3. Trans- | 3A.       | 4.      | 5. Number of   | 6. Date Exercisable | 7. Title and   | 8. Price of | 9. Number of   | 10.       | 11. Nat   |
| Derivative  | sion or    | action    | Deemed    | Trans-  | Derivative     | and Expiration      | Amount of      | Derivative  | Derivative     | Owner-    | of Indi   |
| Security    | Exercise   | Date      | Execution | action  | Securities     | Date                | Underlying     | Security    | Securities     | ship      | Benefic   |
|             | Price of   |           | Date,     | Code    | Acquired (A)   | (Month/Day/         | Securities     | (Instr. 5)  | Beneficially   | Form      | Owner     |
| (Instr. 3)  | Derivative | (Month/   | if any    |         | or Disposed    | Year)               | (Instr. 3 & 4) |             | Owned          | of Deriv- | (Instr. 4 |
|             | Security   | Day/      | (Month/   | (Instr. | of (D)         |                     |                |             | Following      | ative     |           |
|             |            | Year)     | Day/      | 8)      |                |                     |                |             | Reported       | Security: |           |
|             |            |           | Year)     |         | (Instr. 3, 4 & |                     |                |             | Transaction(s) | Direct    |           |
|             |            |           |           |         | 5)             |                     |                |             | (Instr. 4)     | (D)       |           |
|             |            |           |           | Code V  | (A) (D)        |                     | Title          |             |                | or        |           |
|             |            |           |           |         |                |                     | Title          |             |                |           |           |

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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|                |         |          |   |   |          | Exer-cisable | Expira-<br>tion<br>Date |                 | Amount<br>or<br>Number<br>of<br>Shares |         |            | Indirect<br>(I)<br>(Instr. 4) |  |
|----------------|---------|----------|---|---|----------|--------------|-------------------------|-----------------|--|---------|------------|-------------------------------|--|
| Stock<br>Units | 1-for-1 | 01/01/03 | A | 2 | 257.2016 | (1)          | ` /                     | Common<br>Stock | 257.2016                               | \$24.30 | 3,371.5719 | D                             |  |

Explanation of Responses:

(1) Stock units accrued under the Directors Deferred Compensation and Stock Unit Plan. Amount of stock units is payable in FBF common stock upon the reporting person's retirement or resignation from the Board of Directors.

By: /s/ Martha R. Francis
Martha R. Francis, Attorney-in-Fact
\*\*Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).