

HALLIBURTON CO
Form 4
June 24, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
LESAR DAVID J

(Last) (First) (Middle)

**3000 N. SAM HOUSTON
PARKWAY E.**

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction
(Month/Day/Year)
06/20/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, Pres. and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (1)	(A) or (D)	Price
Common Stock	06/20/2014		S		10,000 (1)	D	\$ 69.99
Common Stock	06/20/2014		M		32,100	A	\$ 29.35
Common Stock	06/20/2014		S		32,100 (1)	D	\$ 69.99
Common Stock							3,308.14
						I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Option to Buy Common Stock	\$ 29.35	06/20/2014		M	32,100 (2)	12/01/2009	12/01/2019	Common Stock	128,
Call Option (obligation to sell)	\$ 70	06/20/2014		S	215 (3)	06/20/2014	01/17/2015	Common Stock	21,5
Put Option (right to sell)	\$ 70	06/20/2014		P	215 (3)	06/20/2014	01/17/2015	Common Stock	21,5
Option to Buy Common Stock	\$ 50.62					12/04/2013	12/04/2023	Common Stock	137,
Option to Buy Common Stock	\$ 33.5					12/05/2012	12/05/2022	Common Stock	208,
Option to Buy Common Stock	\$ 35.57					12/06/2011	12/06/2021	Common Stock	141,
Option to Buy Common Stock	\$ 39.19					12/01/2010	12/01/2020	Common Stock	108,

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LESAR DAVID J 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032	X		Chairman, Pres. and CEO	

Signatures

Robert L. Hayter, by Power of Attorney
06/24/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 19, 2014.
- (2) Options disposed of through exercise pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on May 19, 2014.
- (3) The Reporting Person sold call options and purchased put options to protect the value of shares designated by the Reporting Person to fulfill a pledge for a charitable donation to be made in 2015.

- (4) The price reported in Column 8 is a weighted average price. These call option contracts were sold in multiple transactions at prices ranging from \$496.00 and \$499.00, inclusive. The Reporting Person undertakes to provide to Halliburton Company, any security holder of Halliburton Company, or the staff of the Securities and Exchange Commission, full information regarding the number of call option contracts sold at each separate price within the ranges set forth in footnote (4) to this Form 4.

- (5) The price reported in Column 8 is a weighted average price. These put option contracts were acquired in multiple transactions at prices ranging from \$492.00 and \$495.00, inclusive. The Reporting Person undertakes to provide to Halliburton Company, any security holder of Halliburton Company, or the staff of the Securities and Exchange Commission, full information regarding the number of put option contracts acquired at each separate price within the ranges set forth in footnote (5) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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