



Edgar Filing: EATON VANCE CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option (right to buy) <sup>(4)</sup>	\$ 8.59					<sup>(5)</sup> 11/01/2009	Eaton Vance Corp. Non-voting Common Stock	72,000
Option (right to buy) <sup>(4)</sup>	\$ 12.27					<sup>(6)</sup> 11/01/2010	Eaton Vance Corp. Non-voting Common Stock	200,000
Option (right to buy) <sup>(4)</sup>	\$ 14.34					<sup>(7)</sup> 11/01/2011	Eaton Vance Corp. Non-voting Common Stock	250,000
Option (right to buy) <sup>(4)</sup>	\$ 14.55					<sup>(8)</sup> 11/01/2012	Eaton Vance Corp. Non-voting Common Stock	282,600
Option (right to buy) <sup>(4)</sup>	\$ 17.51					<sup>(9)</sup> 11/03/2013	Eaton Vance Corp.	273,000

					Non-voting Common Stock	
Option (right to buy)	\$ 21.96	(10)	11/01/2014	Eaton Vance Corp. Non-voting Common Stock	259,600	
Option (right to buy)	\$ 24.87	(11)	11/01/2015	Eaton Vance Corp. Non-voting Common Stock	210,500	
Option (right to buy)	\$ 30.11	(12)	11/01/2016	Eaton Vance Corp. Non-voting Common Stock	200,800	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RICHARDSON DUNCAN W THE EATON VANCE BUILDING 255 STATE STREET BOSTON, MA 021092617			Executive Vice President	

## Signatures

By: Katie McManus, Attorney  
in Fact 07/27/2007

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Voting Trust shares issued by Eaton Vance.  
All shares of the Voting Stock of Eaton Vance Corp. are deposited and held of record in a Voting Trust of which James B. Hawkes, Thomas E. Faust, William M. Steul, Alan R. Dynner, Duncan Richardson, Thomas Metzold, Robert MacIntosh, Payson Swaffield,
- (2) Jeffrey P. Beale, Michael Mach, Judith Saryan, Scott Page, Cynthia Clemson, Michael Weilheimer, G. West Saltonstall, Lisa Jones, Robert Whelan and Matthew Witkos are the Voting Trustees. The Voting Common Stock is not registered under Section 12 of the Securities Exchange Act.
- (3) A Voting Trust Receipt represents a share of Voting Common Stock.

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- (4) Granted under the 1998 Stock Option Plan, which is a 16b-3 plan.
- (5) Granted on November 1, 1999, these options vest over a 5 year period at 20% per year.
- (6) Granted on November 1, 2000, these options vest over a 5 year period at 20% per year.
- (7) Granted on November 1, 2001, these options vest over a 5 year period at 20% per year.
- (8) Granted on November 1, 2002, these options vest over a 5 year period at 20% per year.
- (9) Granted on November 3, 2003, these options vest over a 5 year period at 20% per year.
- (10) Granted on November 1, 2004 these options vest over a 5 year period at 20% per year.
- (11) Granted on November 1, 2005 these options vest over a 5 year period in increments of 10%, 15%, 20%, 25%, and 30%.
- (12) Granted on November 1, 2006 these options vest over a 5 year period in increments of 10%, 15%, 20%, 25%, and 30%.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.