

Jain Ajit
Form 4
November 09, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Jain Ajit

2. Issuer Name **and** Ticker or Trading
Symbol
BERKSHIRE HATHAWAY INC
[BRK.A]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

100 FIRST STAMFORD
PLACE, BHRG

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/07/2018

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Vice Chairman

STAMFORD, CT 06902

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class B Common Stock	11/07/2018		S	5,700 (1)	\$ 219.69	122,695 (2)	I

By Jain
Foundation
Inc.
(Non-Profit
Corporation)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A Common Stock	(4)					(3)	(3)	Class B Common Stock	181,500 (5)
Class A Common Stock	(4)					(3)	(3)	Class B Common Stock	195,000 (7)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jain Ajit 100 FIRST STAMFORD PLACE BHRG STAMFORD, CT 06902	X		Vice Chairman	

Signatures

Ajit Jain 11/09/2018

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction was a sale by the non-profit corporation Jain Foundation Inc., of 5,700 shares of Class B Common Stock.
- (2) The shares directly owned by the Reporting Person, and the shares owned by the Reporting Person's spouse remain unchanged (202 and 150).
- (3) Not Applicable
- (4) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.

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- (5) A family-planning trust established by the Reporting Person for the benefit of the Reporting Person's children owns 121 shares of Class A Common Stock, which are convertible into 181,500 shares of Class B Common Stock.
- (6) On October 31st, the Reporting Person and the Reporting Person's spouse transferred all owned Class A Shares into family-planning trusts established for the benefit of the Reporting Person's children.
- (7) A family-planning trust established by the Reporting Person's spouse for the benefit of the Reporting Person's children owns 130 shares of Class A Common Stock, which are convertible into 195,000 shares of Class B Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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