Feldman Amy M. Form 3 November 08, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Feldman Amy M.

(Last)

(First)

(Middle)

(Zip)

Statement

(Month/Day/Year)

10/29/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Lifeway Foods, Inc. [LWAY]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O LIFEWAY FOODS. INC., 6431 OAKTON STREET

(Street)

(State)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) SENIOR EXECUTIVE VP OF **SALES**

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting Person

MORTON

(City)

GROVE, ILÂ 60053

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities

(Instr. 4)

Beneficially Owned

3. Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5) Direct (D)

or Indirect (I)

(Instr. 5)

Common Stock, no par value

 $10,000^{(1)}$

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

4.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security**

5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative Derivative

(Instr. 4)

Security:

Edgar Filing: Feldman Amy M. - Form 3

Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of Shares (I)

(Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Feldman Amy M. C/O LIFEWAY FOODS, INC. 6431 OAKTON STREET MORTON GROVE, IL 60053	Â	Â	SENIOR EXECUTIVE VP OF SALES	Â

Signatures

/s/ Amy
Feldman

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

10,000 shares of time-based restricted stock awards pursuant to the Company's 2015 Omnibus Incentive Plan. Of such time-based (1) restricted stock, 5,000 shares will vest on May 1, 2019; 5,000 will vest on October 31, 2019, contingent on the Reporting Person's continued service on each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2