

Edgar Filing: Pharma-Bio Serv, Inc. - Form NT 10-K

Pharma-Bio Serv, Inc.  
Form NT 10-K  
January 31, 2017

OMB APPROVAL

OMB Number: 3235-0058

Expires: October 31, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Estimated average burden

hours per response.....2.50

FORM 12b-25

SEC FILE NUMBER

CUSIP NUMBER

NOTIFICATION OF LATE FILING

(Check one):

Form 10-K

Form 20-F

Form 11-K

Form 10-Q

Form 10-D

For Period Ended: October 31, 2016

Transition Report on Form 10-K

Transition Report on Form 20-F

Transition Report on Form 11-K

Transition Report on Form 10-Q

Transition Report on Form N-SAR

For the Transition Period Ended:

Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:

PART I — REGISTRANT INFORMATION

Pharma-Bio Serv, Inc.  
Full Name of Registrant

Former Name if Applicable

Pharma-Bio Serv Building, #6 Road 696  
Address of Principal Executive Office  
(Street and Number)

Dorado, Puerto Rico  
City, State and Zip Code



PART II — RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

- (a) The reason described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense

The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q or subject distribution report on Form 10-D, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and

- (c) The accountant’s statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III – NARRATIVE

State below in reasonable detail the reasons why Forms 10-K, 20-F, 11-K, 10-Q, 10-D, N-SAR, N-CSR, or the transition report or portion thereof, could not be filed within the prescribed time period.

(Attach extra Sheets if Needed)

The Registrant is unable to file, without unreasonable effort and expense, its Form 10K Annual Report for the year ended October 31, 2016. It is anticipated that the Form 10K Annual Report, along with the financial statements, will be filed on January 30, 2017, before 10 pm Eastern time, and in any event before the 15th calendar day following the prescribed due date of the Registrant’s Form 10K.

PART IV — OTHER INFORMATION

- (1) Name and telephone number of person to contact in regard to this notification

Pedro J. Lasanta 787 396-0855
(Name) (Area Code) (Telephone Number)

- (2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If answer is no, identify report(s).

Yes No

(3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes

No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.



Pharma-Bio Serv,  
Inc.

(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 30,  
2017

By: /s/ Pedro J. Lasanta

Pedro J. Lasanta  
Chief Financial Officer and Vice President of Finance and  
Administration  
(Principal Financial Officer and Principal Accounting Officer)