

EXTREME NETWORKS INC

Form 3

November 08, 2013

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Blohm Frank

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/01/2013

3. Issuer Name **and** Ticker or Trading Symbol
EXTREME NETWORKS INC [EXTR]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ X Officer ☐ Other

(give title below) (specify below)

Sr VP WW Operations & Services

6. Individual or Joint/Group
Filing(Check Applicable Line)☒ X Form filed by One Reporting
Person☐ Form filed by More than One
Reporting PersonC/O EXTREME NETWORKS,
INC.,Â 145 RIO ROBLES

(Street)

SAN JOSE,Â CAÂ 95134

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

71,253

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	08/03/2012 ⁽¹⁾	08/03/2018	Common Stock	80,000	\$ 3.29	D Â
Non-Qualified Stock Option (right to buy)	08/21/2013 ⁽¹⁾	08/21/2019	Common Stock	100,000	\$ 3.38	D Â
Non-Qualified Stock Option (right to buy)	02/02/2012 ⁽¹⁾	02/02/2018	Common Stock	47,500	\$ 3.68	D Â
Non-Qualified Stock Option (right to buy)	04/16/2011 ⁽²⁾	08/03/2017	Common Stock	60,000	\$ 3.74	D Â
Non-Qualified Stock Option (right to buy)	10/26/2010 ⁽³⁾	10/26/2017	Common Stock	165,000	\$ 4.25	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Blohm Frank C/O EXTREME NETWORKS, INC. 145 RIO ROBLES SAN JOSE, CA 95134	Â	Â	Â Sr VP WW Operations & Services	Â	

Signatures

By: Margaret Echerd For: Frank Blohm 11/08/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option is exercisable as vested; 1/4 vests one year from date of grant and then 1/48 vests on each full month thereafter until fully vested, so long as the optionee's employment has not terminated.
- (2) The option grant vests monthly for a period of four years.
- (3) The option vests 27,500 shares on 10/26/2010, 10/26/2011 and 10/26/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.