### Edgar Filing: FULTON FINANCIAL CORP - Form 3

#### **FULTON FINANCIAL CORP**

Form 3 July 03, 2013

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FULTON FINANCIAL CORP [FULT] A Sargent Angela M (Month/Day/Year) 07/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O FULTON FINANCIAL (Check all applicable) CORPORATION, Â P.O. BOX 4887, ONE PENN SQUARE 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) SEVP & Chief Info Officer \_X\_ Form filed by One Reporting Person LANCASTER. PAÂ 17604 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â \$2.50 par value common stock 23,401.2608 (1) D \$2.50 par value common stock 698.6696 Ι Custodial account for child Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of 4.

5.

6. Nature of Indirect
Conversion
Ownership
Derivative Security
Or Exercise
Form of (Instr. 5)

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			(Instr. 4)		Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Right to Buy)	(2)	06/30/2014	\$2.50 par value common stock	9,189	\$ 15.38	D	Â
Employee Stock Option (Right to Buy)	(3)	06/30/2015	\$2.50 par value common stock	8,085	\$ 17.12	D	Â
Employee Stock Option (Right to Buy)	(4)	06/30/2016	\$2.50 par value common stock	6,000	\$ 15.89	D	Â
Employee Stock Option (Right to Buy)	(5)	06/30/2017	\$2.50 par value common stock	7,500	\$ 14.415	D	Â
Employee Stock Option (Right to Buy)	(6)	06/30/2018	\$2.50 par value common stock	3,217	\$ 9.965	D	Â
Employee Stock Option (Right to Buy)	(7)	06/30/2019	\$2.50 par value common stock	7,692	\$ 5.27	D	Â
Employee Stock Option (Right to Buy)	(8)	06/30/2020	\$2.50 par value common stock	8,172	\$ 9.475	D	Â
Employee Stock Option (Right to Buy)	(9)	06/30/2021	\$2.50 par value common stock	11,437	\$ 10.88	D	Â
Employee Stock Option (Right to Buy)	(10)	03/31/2022	\$2.50 par value common stock	11,550	\$ 10.475	D	Â
Employee Stock Option (Right to Buy)	(11)	03/31/2023	\$2.50 par value common stock	15,015	\$ 11.58	D	Â

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer

Â

Other

Sargent Angela M

C/O FULTON FINANCIAL CORPORATION P.O. BOX 4887, ONE PENN SQUARE LANCASTER, PAÂ 17604

Â

SEVP & Chief Info Officer Â

**Signatures** 

Mark A. Crowe, Attorney-in-Fact

07/03/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 5,165.0945 shares held jointly with spouse. Also, includes 13,057.1679 restricted shares subject to vesting pursuant to the 2004 Stock Option and Compensation Plan.
- (2) Company granted option issued pursuant to Rule 16b-3. The stock option grant became exercisable one-third on July 1, 2005; one-third on July 1, 2006 and one-third on July 1, 2007.
- (3) Company granted option issued pursuant to Rule 16b-3. The stock option grant became exercisable one-third on July 1, 2006; one-third on July 1, 2007 and one-third on July 1, 2008.
- Company granted option issued pursuant to Rule 16b-3. The stock option grant became exercisable one-third on July 1, 2007; one-third on July 1, 2008 and one-third on July 1, 2009.
- (5) Company granted option issued pursuant to Rule 16b-3. The stock option grant became exercisable one-third on July 1, 2008; one-third on July 1, 2009 and one-third on July 1, 2010.
- Company granted option issued pursuant to Rule 16b-3. The stock option grant became exercisable one-third on July 1, 2009; one-third on July 1, 2010 and one-third on July 1, 2011.
- (7) Company granted option issued pursuant to Rule 16b-3. The stock option grant became exercisable one-third on July 1, 2010; one-third on July 1, 2011 and one-third on July 1, 2012.
- (8) Company granted option issued pursuant to Rule 16b-3. The stock option grant became exercisable one-third on July 1, 2011; one-third on July 1, 2012 and one-third on July 1, 2013.
- (9) Company granted option issued pursuant to Rule 16b-3. The stock option grant shall be exercisable one-third on July 1, 2012; one-third on July 1, 2013 and one-third on July 1, 2014.
- (10) Company granted option issued pursuant to Rule 16b-3. The stock option grant shall be exercisable one-third on April 1, 2013; one-third on April 1, 2014 and one-third on April 1, 2015.
- Company granted option issued pursuant to Rule 16b-3. The stock option grant shall be exercisable one-third on April 1, 2014; one-third on April 1, 2015 and one-third on April 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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