OZAN KEVIN M Form 4

May 03, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

may continue. See Instruction

1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* OZAN KEVIN M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle) MCDONALDS CORP [MCD] 3. Date of Earliest Transaction

(Month/Day/Year) 05/01/2019

Director 10% Owner

(Check all applicable)

X\_ Officer (give title below) EVP & CFO

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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response...

Estimated average

burden hours per

MCDONALD'S CORPORATION, 110 NORTH **CARPENTER STREET** 

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CHICAGO, IL 60607

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispos (Instr. 3, 4	ed of (4 and 3 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/01/2019		Code V M	Amount 20,291	(D)	Price \$ 100.05	42,647	D	
Common Stock	05/01/2019		M	10,639	A	\$ 94	53,286	D	
Common Stock	05/01/2019		S	30,930	D	\$ 195.89 (1)	22,356	D	
Common Stock	05/01/2019		S	10,000	D	\$ 195.92 (2)	12,356	D	

Comment			Profit
Common	3,634.99 (3)	I	Sharing
Stock			~
~ * * * * * * * * * * * * * * * * * * *			Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and A	Securities
				Code V	(A) (Γ	<b>)</b> )	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options (Right to Buy)	\$ 100.05	05/01/2019		M	20,2	291	<u>(4)</u>	02/08/2022	Common Stock	20,291				
Options (Right to Buy)	\$ 94	05/01/2019		M	10,0	639	<u>(4)</u>	02/13/2023	Common Stock	10,639				
Phantom Stock	<u>(5)</u>						<u>(6)</u>	(6)	Common Stock	2,489.82 (3)				

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
·	Director	10% Owner	Officer	Other			
OZAN KEVIN M							
MCDONALD'S CORPORATION			EVP & CFO				
110 NORTH CARPENTER STREET			EVF&CFO				
CHICAGO, IL 60607							

## **Signatures**

/s/ Christopher Weber, 05/03/2019 Attorney-in-fact \*\*Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: OZAN KEVIN M - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$195.30 to \$197.00 per share. The price reported represents the weighted average sales price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.
- This transaction was executed in multiple trades at prices ranging from \$195.88 to \$196.03 per share. The price reported represents the weighted average sales price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.
- (3) Includes shares acquired through dividend reinvestment.
- (4) Options become exercisable in 25% increments on the first, second, third and fourth anniversary dates of the grant.
- (5) Each share of phantom stock represents a right to receive the cash value of one share of McDonald's Corporation common stock.
- (6) Shares of phantom stock are payable in cash following the reporting person's separation from service with McDonald's.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.