

KOCH C JAMES  
Form 4  
September 19, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KOCH C JAMES**

(Last) (First) (Middle)

**C/O THE BOSTON BEER  
COMPANY, ONE DESIGN  
CENTER PLACE, SUITE 850**

(Street)

**BOSTON, MA 02210**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**BOSTON BEER CO INC [SAM]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**09/19/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
Chairman

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |                            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|----------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) Price           |                                                                                               |                                                          |                                                       |
| Class A Common                  | 09/19/2018                           |                                                    | S <sup>(1)</sup>               |                                                                   | 699    | D \$ 306.4 <sup>(2)</sup>  | 42,673 <sup>(3)</sup>                                                                         | D                                                        |                                                       |
| Class A Common                  | 09/19/2018                           |                                                    | S <sup>(1)</sup>               |                                                                   | 200    | D \$ 307.33 <sup>(4)</sup> | 42,473 <sup>(3)</sup>                                                                         | D                                                        |                                                       |
| Class A Common                  | 09/19/2018                           |                                                    | S <sup>(1)</sup>               |                                                                   | 475    | D \$ 308.27 <sup>(5)</sup> | 41,998 <sup>(3)</sup>                                                                         | D                                                        |                                                       |
| Class A Common                  | 09/19/2018                           |                                                    | S <sup>(1)</sup>               |                                                                   | 100    | D \$ 309                   | 41,898 <sup>(3)</sup>                                                                         | D                                                        |                                                       |

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|                   |            |                  |       |   |                     |            |   |                                                             |
|-------------------|------------|------------------|-------|---|---------------------|------------|---|-------------------------------------------------------------|
| Class A<br>Common | 09/19/2018 | S <sup>(1)</sup> | 1,226 | D | \$<br>310.11<br>(6) | 40,672 (3) | D |                                                             |
| Class A<br>Common | 09/19/2018 | S <sup>(1)</sup> | 100   | D | \$ 311              | 40,572 (3) | D |                                                             |
| Class A<br>Common | 09/19/2018 | S <sup>(1)</sup> | 100   | D | \$ 312              | 40,472 (3) | D |                                                             |
| Class A<br>Common | 09/19/2018 | S <sup>(1)</sup> | 1,100 | D | \$ 313.2<br>(7)     | 39,372 (3) | D |                                                             |
| Class A<br>Common | 09/19/2018 | S <sup>(1)</sup> | 1,000 | D | \$<br>314.41<br>(8) | 38,372 (3) | D |                                                             |
| Class A<br>Common |            |                  |       |   |                     | 44,248     | I | By LLC<br>managed by<br>spouse                              |
| Class A<br>Common |            |                  |       |   |                     | 23,486     | I | Custodian<br>for children<br>under<br>UGTMA                 |
| Class A<br>Common |            |                  |       |   |                     | 23,000     | I | By<br>Foundation<br>managed by<br>Reporting<br>Person       |
| Class A<br>Common |            |                  |       |   |                     | 5,000      | I | By Trust as<br>Trustee                                      |
| Class A<br>Common |            |                  |       |   |                     | 3,565      | I | By spouse<br>as custodian<br>for children<br>under<br>UGTMA |
| Class A<br>Common |            |                  |       |   |                     | 2,532      | I | By spouse<br>in trust for<br>children                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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|                                                  |                                                        |                                         |                                                       |                                          |     |                                                                                            |                                                             |                                                                  |                                               |                                                                                                       |
|--------------------------------------------------|--------------------------------------------------------|-----------------------------------------|-------------------------------------------------------|------------------------------------------|-----|--------------------------------------------------------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------|-----------------------------------------------|-------------------------------------------------------------------------------------------------------|
| 1. Title of<br>Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed Execution Date, if any<br>(Month/Day/Year) | 4.<br><br>Transaction Code<br>(Instr. 8) |     | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of Underlying Securities<br>(Instr. 3 and 4) | 8. Price of Derivative Security<br>(Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)<br>(Instr. 6) |
|                                                  |                                                        |                                         |                                                       | Code V                                   | (A) | (D)                                                                                        | Date Exercisable                                            | Expiration Date                                                  | Title                                         | Amount or Number of Shares                                                                            |

## Reporting Owners

| Reporting Owner Name / Address                                                                        | Relationships |           |          |       |
|-------------------------------------------------------------------------------------------------------|---------------|-----------|----------|-------|
|                                                                                                       | Director      | 10% Owner | Officer  | Other |
| KOCH C JAMES<br>C/O THE BOSTON BEER COMPANY<br>ONE DESIGN CENTER PLACE, SUITE 850<br>BOSTON, MA 02210 |               |           | Chairman |       |

## Signatures

Michael G. Andrews under POA for the benefit of Koch C.  
James

09/19/2018

\*\*Signature of Reporting Person

Date \_\_\_\_\_

### Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 13, 2018.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 699 shares is
- (2) from \$306.05 to \$307.00. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- (3) The shares reported include 654 shares of restricted stock subject to vesting conditions.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 200 shares is
- (4) from \$307.05 to \$307.60. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 475 shares is
- (5) from \$308.15 to \$308.55. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,226 shares
- (6) is from \$310.00 to \$310.80. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- (7)

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The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,100 shares is from \$313.00 to \$313.93. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

- (8) The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 1,000 shares is from \$314.05 to \$314.45. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.