Patel Bhavesh V. Form 4 February 21, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Washington, D.C. 20549

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Patel Bhavesh V.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

LyondellBasell Industries N.V.

(Check all applicable)

(Last)

(First) (Middle)

(Street)

3. Date of Earliest Transaction

4. If Amendment, Date Original

Director

10% Owner Other (specify

(Month/Day/Year)

[LYB]

02/17/2018

Officer (give title below)

Chief Executive Officer

4TH FLOOR, ONE VINE STREET

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LONDON, X0 W1J 0AH

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (Month/Day/Year) (Instr. 8)

5. Amount of 7. Nature of Ownership Indirect Form: Direct Beneficial Ownership Owned (D) or Indirect (I) Following (Instr. 4)

D

Ι

Reported (Instr. 4) Transaction(s)

(A) Code V Amount (D) Price

(Instr. 3 and 4)

Class A Ordinary 02/17/2018 Shares

7,520 F (1)

D 108.61

 $157,688 \frac{(2)}{}$ 

61,810

By Family Trust

Class A ordinary shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

### Edgar Filing: Patel Bhavesh V. - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities Acquired			(Instr. 3	3 and 4)		Own
	Security										Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
					Date	Date	Expiration	Or Title Nesselves			
						Exercisable Date	Date		Number		
				C + V	(A) (D)				of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Patel Bhavesh V. 4TH FLOOR ONE VINE STREET LONDON, X0 W1J 0AH

Chief Executive Officer

## **Signatures**

/s/ Lara A. Mason, 02/21/2018 Attorney-in-Fact

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 17, 2018 the reporting person's restricted stock units automatically vested 19,110 shares. 7,520 shares were withheld by the **(1)** issuer to satisfy the issuer's tax withholding obligations.
- Includes 103,685 restricted stock units ("RSUs") granted pursuant to the issuer's long-term incentive plan: 23,638 granted on January 12, 2015 that vest on January 12, 2019; 23,637 granted on January 12, 2015 that vest on January 12, 2020; 26,066 granted on February 16, 2016 that vest on February 16, 2019 and 30,344 granted on February 16, 2017 that vest on February 16, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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