

Trolaro Kevin Michael
Form 3
August 31, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Trolaro Kevin Michael
(Last) (First) (Middle)

C/O ASCENA RETAIL
GROUP, INC., Â 933
MACARTHUR BOULEVARD

(Street)

MAHWAH, Â NJ Â 07430

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
08/25/2017

3. Issuer Name **and** Ticker or Trading Symbol
Ascena Retail Group, Inc. [ASNA]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner
__X__ Officer ___ Other
(give title below) (specify below)
Int. Principal Acct. Officer

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common

5,469

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units ⁽¹⁾	Â ⁽²⁾	Â ⁽²⁾	Common	169	\$ ⁽³⁾	D	Â
Restricted Stock Units ⁽¹⁾	Â ⁽⁴⁾	Â ⁽⁴⁾	Common	335	\$ ⁽³⁾	D	Â
Restricted Stock Units ⁽¹⁾	Â ⁽⁵⁾	Â ⁽⁵⁾	Common	836	\$ ⁽³⁾	D	Â
Restricted Stock Units ⁽⁶⁾	Â ⁽⁷⁾	Â ⁽⁷⁾	Common	2,414	\$ ⁽³⁾	D	Â
Option To Buy ⁽¹⁾	06/19/2014	06/19/2020	Common	2,500	\$ 17.67	D	Â
Option To Buy ⁽¹⁾	09/25/2014	09/25/2020	Common	2,000	\$ 19.91	D	Â
Option To Buy ⁽¹⁾	09/23/2015	09/23/2021	Common	2,000	\$ 14.12	D	Â
Option To Buy ⁽¹⁾	09/29/2016	09/29/2022	Common	3,702	\$ 13.48	D	Â
Option To Buy ⁽⁶⁾	09/21/2017	09/21/2023	Common	7,396	\$ 5.56	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Trolaro Kevin Michael C/O ASCENA RETAIL GROUP, INC. 933 MACARTHUR BOULEVARD MAHWAH, NJ 07430	Â	Â	Â Int. Principal Acct. Officer	Â

Signatures

Mary Beth Riley, Power of Attorney 08/31/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Granted under the Company's 2010 Incentive Stock Plan.
- (2) On September 25, 2013, the reporting person was granted 667 restricted stock units, vesting in four annual installments beginning on the first anniversary of the grant date.
- (3) Restricted stock units convert into common stock on a one-for-one basis.
- (4) On September 23, 2014, the reporting person was granted 667 restricted stock units, vesting in four annual installments beginning on the first anniversary of the grant date.
- (5) On September 29, 2015, the reporting person was granted 1,253 restricted stock units, vesting in three annual installments beginning on the first anniversary of the grant date.
- (6) Granted under the Company's 2016 Omnibus Incentive Plan.
- (7) On September 21, 2016, the reporting person was granted 2,414 restricted stock units, vesting in three annual installments beginning on the first anniversary of the grant date.

^

Remarks:

EXHIBIT^ LIST:^ ^ EX-24:^ Power^ of^ Attorney^ Kevin^ Trolaro

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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