

Stief Brian J  
Form 3  
July 28, 2010

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Stief Brian J

(Last)

(First)

(Middle)

5757 N. GREEN BAY  
AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201

(City)

(State)

(Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/28/2010

3. Issuer Name and Ticker or Trading Symbol  
JOHNSON CONTROLS INC [JCI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
VP & Corp. Controller

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

0 <sup>(1)</sup>

D

^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Date Exercisable Expiration Date Title

# Edgar Filing: Stief Brian J - Form 3

|                                                  |                           |                           |                                                  | Amount<br>or<br>Number<br>of Shares |                   | or Indirect<br>(I)<br>(Instr. 5) |   |
|--------------------------------------------------|---------------------------|---------------------------|--------------------------------------------------|-------------------------------------|-------------------|----------------------------------|---|
| Phantom Stock<br>Units/Restricted<br>Stock Grant | 07/27/2012 <sup>(2)</sup> | 07/27/2012 <sup>(2)</sup> | Phantom Stock<br>Units/Restricted<br>Stock Grant | 60,455<br><sup>(2)</sup>            | \$ <sup>(3)</sup> | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                   | Relationships |           |                               |       |
|----------------------------------------------------------------------------------|---------------|-----------|-------------------------------|-------|
|                                                                                  | Director      | 10% Owner | Officer                       | Other |
| Stief Brian J<br>5757 N. GREEN BAY AVENUE<br>P.O. BOX 591<br>MILWAUKEE, WI 53201 | Â             | Â         | Â VP &<br>Corp.<br>Controller | Â     |

## Signatures

Angela M. Blair, Attorney-in-fact for Brian J. Stief

07/28/2010

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) No securities are beneficially owned by this reporting person.

The phantom stock balance consists of an award of 60,455 restricted stock units granted on July 27, 2010, all of which vest on July 27, 2012. The phantom stock units representing the award and the dividends which accrue during the restrictive period are to be settled 100% in cash, pending vesting.

(3) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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