Lawrence Nathan Form 4 December 21, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Lawrence Nathan

(City)

1. Title of

Security

(Instr. 3)

(Middle)

(Zip)

2. Transaction Date 2A. Deemed

(Last) (First)

51 BELMONT ST, UNIT 1, BLDG

(State)

(Street)

SOUTH EASTON, MA 02375

2. Issuer Name and Ticker or Trading

Symbol

PRESSURE BIOSCIENCES INC [PBIO]

3. Date of Earliest Transaction

(Month/Day/Year) 12/19/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

> (Instr. 3, 4 and 5) (Instr. 8)

Code Disposed of (D)

Owned Following Reported (A) Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative

Conversion

3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactiorDerivative

6. Date Exercisable and **Expiration Date** 

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP of Marketing & Sales

6. Ownership

Form: Direct

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

10% Owner

Other (specify

7. Nature of

Ownership (Instr. 4)

(9-02)

Indirect

(D) or Indirect Beneficial

Issuer

below)

Director

Applicable Line)

5. Amount of

Securities

Beneficially

X\_ Officer (give title

Estimated average

burden hours per

7. Title and Amo Underlying Secu

#### Edgar Filing: Lawrence Nathan - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Ar or Nu of Sh
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		5,000	<u>(1)</u>	07/18/2028	Common Stock	5
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	5,000		(2)	12/19/2028	Common Stock	5
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		334	<u>(1)</u>	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	334		(2)	12/19/2028	Common Stock	
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		1,167	<u>(1)</u>	07/18/2028	Common Stock	1
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	1,167		(2)	12/19/2028	Common Stock	1
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		500	<u>(1)</u>	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	500		(2)	12/19/2028	Common Stock	
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		500	<u>(1)</u>	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	500		(2)	12/19/2028	Common Stock	
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		1,500	<u>(1)</u>	07/18/2028	Common Stock	1
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	1,500		(2)	12/19/2028	Common Stock	1
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		5,000	<u>(1)</u>	07/18/2028	Common Stock	5
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	5,000		(2)	12/19/2028	Common Stock	5
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		3,500	<u>(1)</u>	07/18/2028	Common Stock	3
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		A	3,500		(2)	12/19/2028	Common Stock	3
Non-Qualified Stock Option	\$ 3.4	12/19/2018(1)		D		5,000	<u>(1)</u>	07/18/2028	Common Stock	5

Non-Qualified Stock Option

\$ 3.4

12/19/2018(1)

5,000

(2) 12/19/2028

Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lawrence Nathan 51 BELMONT ST, UNIT 1, BLDG 1 SOUTH EASTON, MA 02375

VP of Marketing & Sales

# **Signatures**

/s/ Nathan P. Lawrence

12/21/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The reported transactions involved an amendment of an outstanding stock option, resulting in the deemed cancellation of the old stock
- (1) option granted on July 18, 2018 and the grant of a replacement stock option dated December 19, 2018, which includes the same exercise price of \$3.40 and a new expiration date of December 19, 2028.
- (2) Stock options vest 5/36th for the first month then 1/36th per month for the remaining months for a total of 36 months.
- (3) 2015 Nonqualified Stock Option Plan.
- (4) 2013 Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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