

Pollack Kevin
Form 4
July 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Pollack Kevin

2. Issuer Name **and** Ticker or Trading
Symbol
PRESSURE BIOSCIENCES INC
[PBIO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
14 NORFOLK AVENUE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/18/2018

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

SOUTH EASTON, MA 02375

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)

Edgar Filing: Pollack Kevin - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Option	\$ 3.4	07/18/2018	A		4,500		08/18/2018 ⁽¹⁾	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 15	07/18/2018 ⁽²⁾	D			834	⁽²⁾	07/07/2022	Common Stock	
Non-Qualified Stock Option	\$ 3.4	07/18/2018 ⁽²⁾	A		834		⁽²⁾	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 15	07/18/2018 ⁽²⁾	D			834	⁽²⁾	07/07/2022	Common Stock	
Non-Qualified Stock Option	\$ 3.4	07/18/2018 ⁽²⁾	A		834		⁽²⁾	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 9	07/18/2018 ⁽²⁾	D			3,334	⁽²⁾	09/25/2024	Common Stock	
Non-Qualified Stock Option	\$ 3.4	07/18/2018 ⁽²⁾	A		3,334		⁽²⁾	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 12	07/18/2018 ⁽²⁾	D			3,600	⁽²⁾	01/01/2026	Common Stock	
Non-Qualified Stock Option	\$ 3.4	07/18/2018 ⁽²⁾	A		3,600		⁽²⁾	07/18/2028	Common Stock	
Non-Qualified Stock Option	\$ 8.4	07/18/2018 ⁽²⁾	D			4,500	⁽²⁾	03/17/2027	Common Stock	
Non-Qualified Stock Option	\$ 3.4	07/18/2018 ⁽²⁾	A		4,500		⁽²⁾	07/18/2028	Common Stock	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pollack Kevin 14 NORFOLK AVENUE SOUTH EASTON, MA 02375			X	

Signatures

/s/ Kevin
Pollack

07/20/2018

Date

Signature of
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Non-qualified options vest 1/12th per month for 12 months, effective on the day of grant.

The reported transactions involved an amendment of an outstanding stock option, resulting in the deemed cancellation of the old stock
(2) option and the grant of a replacement stock option, which includes a new exercise price of \$3.40 and a new expiration date of July 18, 2028.

(3) 2005 Equity Incentive Plan.

(4) 2013 Equity Incentive Plan.

(5) 2015 Nonqualified Stock Option Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.