Tsien Matthew Form 4 February 20, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB 3235-0287

Number:

Expires:

January 31,

2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Tsien Matthew

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

5. Relationship of Reporting Person(s) to

(Middle)

General Motors Co [GM]

(Check all applicable)

(First) (Last)

3. Date of Earliest Transaction

Director X\_ Officer (give title

10% Owner Other (specify

300 RENAISSANCE

CENTER, M/C: 482-C23-D24

4. If Amendment, Date Original

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Instr. 4)

Filed(Month/Day/Year)

(Month/Day/Year)

02/15/2018

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**DETROIT**, MI 48265

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(Month/Day/Year)

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

below)

**Executive Vice President** 

(A)

(Instr. 3, 4 and 5)

Following Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3) Price of Derivative Security

(Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of

> (D) (Instr. 3, 4, and 5)

Code V

(A)

(D) Date Expiration Exercisable Date

Title Amount

or Number of Shares

**Employee** 

Stock Option

\$ 31.32 02/15/2018 A 71,584 02/15/2018 07/28/2025

Common 71,584 Stock

(Right to Buy) (1)

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Tsien Matthew

300 RENAISSANCE CENTER

M/C: 482-C23-D24 DETROIT, MI 48265 **Executive Vice President** 

# **Signatures**

/s/ Tia Y. Turk, Attorney-In-Fact for Mr.

02/20/2018

Tsien

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 15, 2018, 20% of the July 28, 2015 Driving Stockholder Value Stock Option Grant vested upon meeting or exceeding the **(1)** median Total Shareholder Return ("TSR") of the peer group for the period July 28, 2015 through December 31, 2017.
- Represents the vested and unexercised portion of the 2015 Driving Shareholder Value Stock Option Grant, 40% of which vested on **(2)** February 15, 2017 and 20% of which vested on February 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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