#### JOHNSON CONTROLS INC

Form 4 January 29, 2010

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* JOERRES JEFFREY A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction

(Month/Day/Year) 01/27/2010

X\_ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

5757 N. GREEN BAY AVE., P.O. BOX 591

(First)

(Middle)

(Zip)

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

MILWAUKEE, WI 53201-0591

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. Code (Instr. 8)

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)

or

5. Amount of Securities Beneficially Owned Following Reported Transaction(s) 6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Common 01/27/2010 Stock

Code V Amount (D) 3.794

Price 28.99

6,656

(Instr. 3 and 4)

By Spouse

Common Stock

2,040.413 (1) I

as Trustee of TR

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative Security<br>(Instr. 3)              | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. tionNumber of ) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | 7. Title and A Underlying S (Instr. 3 and | Securities                       |
|---|---|---|--------------------------------------|---|---------------------|--------------------|---|----------------------------------|
|   |   |   | Code V                               | V (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                                     | Amount or<br>Number of<br>Shares |
| Phantom Stock<br>Units/Director's<br>Deferred Comp<br>Plan    | <u>(2)</u>  |   |                                      |   | <u>(3)</u>          | (3)                | Common<br>Stock                           | 60,216.81                        |
| Phantom Stock<br>Units/Directors'<br>Retirement<br>Stock Plan | <u>(2)</u>  |   |                                      |   | <u>(5)</u>          | <u>(5)</u>         | Common<br>Stock                           | 10,663.72                        |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| JOERRES JEFFREY A<br>5757 N. GREEN BAY AVE.<br>P.O. BOX 591<br>MILWAUKEE, WI 53201-0591 | X             |           |         |       |  |  |  |

## **Signatures**

**(4)** 

Angela M. Blair, Attorney-In-Fact for Jeffrey A.

Joerres

01/29/2010

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 9.562 shares acquired through the reinvestment of dividends on January 4, 2010, at a price of \$27.6103 per share.
- (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.

The phantom stock units were accrued under the Johnson Controls Directors' Deferred Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement. The reporting person has the right to transfer the value of the phantom stock account into an alternative investment printing the plan.

alternative investment account within the plan.

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Includes 280.279 phantom stock units acquired through the reinvestment of dividends on January 4, 2010, at a price of \$27.80 per phantom stock unit.

- The phantom stock units were accrued under the Johnson Controls Directors' Retirement Plan and are to be settled 100% in cash upon the reporting person's retirement. The reporting person has the right to transfer the value of the phantom stock account into an alternative investment account within the plan.
- (6) Includes 49.634 phantom stock units acquired through the reinvestment of dividends on January 4, 2010, at a price of \$27.80 per phantom stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.