

CATHAY GENERAL BANCORP  
Form 5  
February 09, 2017

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <b>Tai Pin</b>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <b>CATHAY GENERAL BANCORP [CATY]</b>		5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)	
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) <b>12/31/2016</b>		<input type="checkbox"/> Director <input checked="" type="checkbox"/> Officer (give title below) <b>CEO &amp; President</b> <input type="checkbox"/> 10% Owner <input type="checkbox"/> Other (specify below)	
777 NORTH BROADWAY  (Street)			4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Reporting  (check applicable line)	
LOS ANGELES, CA 90012  (City) (State) (Zip)					<input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) Amount	or (D)	Price			
Common Stock	12/31/2016	Â	J <sup>(1)</sup>	18.66	A	\$ 38.03	844.55	I	By ESOP
Common Stock	Â	Â	Â	Â	Â	Â	1,902	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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