## Edgar Filing: Huckelbridge Brett - Form 4

Huckelbrid Form 4	ge Brett										
November	01, 2018										
FOR	M 4		an an					OMB A	PPROVAL		
<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549								OMB Number:	3235-028	7	
Check t if no lo subject Section Form 4 Form 5		F CHANGES IN BENEFICIAL OWN SECURITIES					Expires: Estimated burden hor response.	urs per	5		
1(b).	ntinue. truction			•	•	npany Act 1y Act of 1	of 1935 or Sectio 1940	on			
(Print or Type	e Responses)										
1. Name and Address of Reporting Person <u>*</u> Huckelbridge Brett			2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
			Global Water Resources, Inc. [GWRS]				(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction				X_ Director 10% Owner Officer (give title Other (specify				
	RTH 19TH , SUITE 220		(Month/) 10/30/2	Day/Year) 2018			below)	below)	ler (opeen)		
	4. If Amendment, Date Original Filed(Month/Day/Year)			վ	<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>						
PHOENIX	K, AZ 85027						Form filed by I Person	More than One R	Reporting		
(City)	(State)	(Zip)	Tab	ole I - Non-E	Derivative	Securities A	Acquired, Disposed o	of, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transaction Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Re	eport on a separate lin	e for each cl	ass of sec	urities benef	icially ow	ned directly	or indirectly.				
					inforn requi	nation cont red to resp ays a curre	spond to the collec tained in this form ond unless the for ntly valid OMB co	are not m	SEC 1474 (9-02)		
	Tab					posed of, or convertible	Beneficially Owned securities)				
1. Title of Derivative		saction Date n/Day/Year)			4. Transact	5. tionNumber	6. Date Exercisable Expiration Date		and Amount of ing Securities	8. Price of Derivative	

## Edgar Filing: Huckelbridge Brett - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	of Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ve s l	(Month/Day/Year)		(Instr. 3 and 4)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Phantom Unit	<u>(1)</u>	10/30/2018	A	1	(2)	(2)	Common Stock	1	\$ 0

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Huckelbridge Brett 21410 NORTH 19TH AVENUE SUITE 220 PHOENIX, AZ 85027	Х						
Signatures							
/s/ Heather Krupa							

/s/ Heather Krupa, 11/01/2018 attorney-in-fact

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each deferred phantom unit ("DPU") is the economic equivalent to one share of common stock of Global Water Resources, Inc. ("the Company").

The DPUs are fully vested upon grant. In addition, the DPUs are only permitted to be redeemed upon the reporting person ceasing to be a(2) director of the Company. Redemption requirements are detailed within the DPU plans which are incorporated by reference within our latest filed 10-K.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.