Edgar Filing: LEVINE WILLIAM S - Form 4

Form 4	VILLIAM S												
August 21,	2017												
FOR	M 4									0	MB API	PROVA	L
Washington, D.C. 20549								ION	OMB Numb	oer:	3235-028		
Check this box if no longer STLATEN (ENTER OF CHANCES IN D)											es:	January 3 20	
subject Sectior	subject to STATEMENT OF CHANGES IN BENEFICIAL OWNER Section 16. SECURITIES Form 4 or									ated av en hours ense	•	0.5	
obligat may co	ions Section 17	(a) of the	Public V		olding C	ompa	ny Ac	t of 1935 or Se					
(Print or Type	e Responses)												
1. Name and Address of Reporting Person <u>*</u> LEVINE WILLIAM S			2. Issuer Name and Ticker or Trading Symbol Global Water Resources, Inc. [GWRS]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle)				3. Date of Earliest Transaction					_X_ Director _X_ 10% Owner				
C/O GLO	BAL WATER CES, INC., 21410	. ,		/Day/Year)					r (give ti		Other	(specify	
				4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
PHOENIX	K, AZ 85027							Form file Person					
(City)	(State)	(Zip)	Ta	ble I - Nor	-Derivati	ve Secu	irities A	Acquired, Dispo	sed of,	or Ben	eficially	Owne	d
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if		Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Owne Form: Direct or Ind (I) (Instr.	t (D) irect	7. Natu Benefic Owners (Instr. 4	cial ship	direct
Common Stock	08/18/2017			М	25,000		\$ 7.5	25,000	Ι		By sel Truste Levin Trust "	e for e Fam	-

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ivative Expiration Date urities (Month/Day/Year) urited (A) Disposed of str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 7.5	08/18/2017		М	25,000	<u>(1)</u>	05/20/2019	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address		Relationships							
IB	Director	10% Owner	Officer	Other					
LEVINE WILLIAM S C/O GLOBAL WATER RESOURCES, 21410 N 19TH AVENUE #220 PHOENIX, AZ 85027	INC.	Х	Х						
Signatures									
/s/ Joanne Ellsworth, attorney-in-fact	08/2	1/2017							
**Signature of Reporting Person	Ľ	Date							
Explanation of Respon	606								

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vests in two equal annual installments on May 20, 2017 and May 20, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.