

MERCANTILE BANK CORP

Form 4

June 03, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
SULLIVAN THOMAS R

(Last) (First) (Middle)

C/O MERCANTILE BANK
CORPORATION, 310 LEONARD
STREET

(Street)

GRAND RAPIDS, MI 49504

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
MERCANTILE BANK CORP
[MBWM]

3. Date of Earliest Transaction
(Month/Day/Year)
06/01/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)
CHAIRMAN OF THE BOARD

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/01/2014		A	30,330 A (1)	30,330	I	by IRA
Common Stock	06/01/2014		A	16,728 A (2)	16,728	D	
Common Stock	06/01/2014		A	53,814 A (3)	53,814	I	by 401K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Common Stock - right to buy)	\$ 24.4639	06/01/2014		A		1,736		06/01/2014	11/22/2014	Common Stock	1,736
Employee Stock Option (Common Stock - right to buy)	\$ 22.8662	06/01/2014		A		1,653		06/01/2014	11/28/2015	Common Stock	1,653
Employee Stock Option (Common Stock - right to buy)	\$ 22	06/01/2014		A		1,575		06/01/2014	11/27/2016	Common Stock	1,575
Employee Stock Option (Common Stock - right to buy)	\$ 16	06/01/2014		A		7,000		06/01/2014	12/03/2017	Common Stock	7,000
Employee Stock	\$ 7.8	06/01/2014		A		7,000		06/01/2014	11/24/2018	Common Stock	7,000

Option
(Common
Stock -
right to
buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SULLIVAN THOMAS R C/O MERCANTILE BANK CORPORATION 310 LEONARD STREET GRAND RAPIDS, MI 49504				CHAIRMAN OF THE BOARD

Signatures

/s/ Bradley J. Wyatt,
Attorney-in-Fact

06/03/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Received in exchange for 30,330 shares of Firstbank Corporation common stock in connection with the merger of Firstbank Corporation
- (1) into Mercantile Bank Corporation (the "Merger"). On the effective date of the Merger, the closing price of Firstbank's common stock was \$21.59 per share and the closing price of Mercantile's common stock was \$21.43 per share.
 - (2) Received in exchange for 16,728 shares of Firstbank Corporation common stock in connection with the Merger.
 - (3) Received in exchange for 53,814 shares of Firstbank Corporation common stock in connection with the Merger.
 - (4) Received in the Merger in exchange for an employee stock option to acquire 1,736 shares of Firstbank Corporation common stock for \$24.4639 per share.
 - (5) Received in the Merger in exchange for an employee stock option to acquire 1,653 shares of Firstbank Corporation common stock for \$22.8662 per share.
 - (6) Received in the Merger in exchange for an employee stock option to acquire 1,575 shares of Firstbank Corporation common stock for \$22.00 per share.
 - (7) Received in the Merger in exchange for an employee stock option to acquire 7,000 shares of Firstbank Corporation common stock for \$16.00 per share.
 - (8) Received in the Merger in exchange for an employee stock option to acquire 7,000 shares of Firstbank Corporation common stock for \$7.80 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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