BROADPOINT SECURITIES GROUP, INC.

Form 8-K April 28, 2009

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

#### **CURRENT REPORT**

# PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): April 28, 2009

BROADPOINT SECURITIES GROUP, INC. (Exact name of registrant as specified in its charter)

New York (State or other jurisdiction of incorporation)

0-14140 (Commission File Number)

22-2655804 (IRS Employer Identification No.)

12 East 49th Street, 31st Floor New York, New York (Address of Principal Executive Offices)

> 10017 (Zip Code)

(212) 273-7100 (Registrant's telephone number, including area code)

Not Applicable (Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 2.02 Results of Operations and Financial Condition.

On April 28, 2009, Broadpoint Securities Group, Inc. (the "Company") issued a press release announcing the Company's results for the period ended March 31, 2009. A copy of the press release is furnished with this Form 8-K as Exhibit 99.1.

Item 9.01. Financial Statements and Exhibits.

- (d) Exhibits.
- 99.1 Press Release of Broadpoint Securities Group, Inc. dated April 28, 2009.

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#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

BROADPOINT SECURITIES GROUP, INC.

By: /s/ Robert I. Turner Name: Robert I. Turner

Title: Chief Financial Officer

Dated: April 28, 2009