Edgar Filing: Kreh Susan M - Form 4

Vach Susan M

Form 4											
October 02, 20									PPROVAL		
FORM	4 UNITED	STATES		ITIES Al hington, 1			E COMMISSION		3235-0287		
Check this if no longe subject to Section 16 Form 4 or		CHANGES IN BENEFICIAL OWNERSHIP					Expires: January 31, 2005 Estimated average burden hours per response 0.5				
Form 5 obligations may contir <i>See</i> Instruct 1(b).	Section 17(a) of the		ility Hold	ing Com	pany Ac	ange Act of 1934, t of 1935 or Sectio 1940	·			
(Print or Type Re	esponses)										
Kreh Susan M Symbol			Symbol	2. Issuer Name and Ticker or Trading ymbol OHNSON CONTROLS INC [JCI]			Issuer				
(Last)	(First) (Middle)	3. Date of Earliest Transaction				(Cheo	(Check all applicable)			
			(Month/Da 10/01/20	-			below)	X Officer (give title Other (specify			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
MILWAUKE	EE, WI 53201						Form filed by M Person	More than One R	eporting		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurities	Acquired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution Execution any	emed on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D) Pri	Reported Transaction(s) (Instr. 3 and 4) ce				
Common Stock							8.5755 <u>(1)</u>	I	By 401(k) Plan - Common		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (Right to Buy)	\$ 96.03					03/21/2009 <u>(2)</u>	03/21/2017	Common Stock	15,0
Employee Stock Option (Right to Buy)	\$ 120.63	10/01/2007		А	12,000	10/01/2009 <u>(2)</u>	10/01/2017	Common Stock	12,0

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Kreh Susan M 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201			Vice Pres. & Corp. Controller			
Signatures						
Arlene D. Gumm, Attorney-in-Fact Kreh	t for Susa	n M.	10/02/2007			

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of underlying securities is based on the stock fund balance on October 1, 2007. The actual number of shares issuable upon
 (1) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a October 1, 2007, stock fund price of \$120.63 per share.
- (2) Fifty percent of the option vests after two years and the remaining fifty percent after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.