

Edgar Filing: Stone Harbor Emerging Markets Income Fund - Form NSAR-B

Stone Harbor Emerging Markets Income Fund  
Form NSAR-B  
January 29, 2014

PAGE 1  
000 B000000 11/30/2013  
000 C000000 0001501103  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 STONE HARBOR EMERGING MARKETS INCOME FUND  
001 B000000 811-22473  
001 C000000 3036232577  
002 A000000 1290 BROADWAY, SUITE 1100  
002 B000000 DENVER  
002 C000000 CO  
002 D010000 80203  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 STONE HARBOR INVESTMENT PARTNERS LP  
008 B000001 A  
008 C000001 801-65397  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10019  
010 A000001 ALPS FUND SERVICES, INC.  
010 B000001 84-5730  
010 C010001 DENVER  
010 C020001 CO  
010 C030001 80203  
012 A000001 COMPUTERSHARE TRUST COMPANY NA  
012 B000001 84-05925  
012 C010001 Canton  
012 C020001 MA  
012 C030001 02021  
013 A000001 DELOITTE & TOUCHE, LLP  
013 B010001 DENVER  
013 B020001 CO  
013 B030001 80202  
015 A000001 EUROCLEAR BANK  
015 B000001 S  
015 C010001 BRUSSELS  
015 D010001 EUROMARKETS  
015 E040001 X  
015 A000002 CITIBANK ARGENTINA  
015 B000002 S  
015 C010002 BUENOS AIRES  
015 D010002 ARGENTINA  
PAGE 2  
015 E040002 X  
015 A000003 ING BANK (EURASIA)  
015 B000003 S  
015 C010003 MOSCOW  
015 D010003 RUSSIA  
015 E040003 X

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015 A000004 ING BANK RT.  
015 B000004 S  
015 C010004 BUDAPEST  
015 D010004 HUNGARY  
015 E040004 X  
015 A000005 BANK HAPOALIM B.M.  
015 B000005 S  
015 C010005 TEL AVIV  
015 D010005 ISRAEL  
015 E040005 X  
015 A000006 BANCO NACIONAL DE MEXICO  
015 B000006 S  
015 C010006 MEXICO CITY  
015 D010006 MEXICO  
015 E040006 X  
015 A000007 HSBC BANK MALAYSIA BERHAD  
015 B000007 S  
015 C010007 KUALA LUMPUR  
015 D010007 MALAYSIA  
015 E040007 X  
015 A000008 HONGKONG AND SHANGHAI BANKING CORPORATION  
015 B000008 S  
015 C010008 MANILA  
015 D010008 PHILLIPINES  
015 E040008 X  
015 A000009 DBS BANK LTD.  
015 B000009 S  
015 C010009 SINGAPORE  
015 D010009 SINGAPORE  
015 E040009 X  
015 A000010 BANGKOK BANK LTD.  
015 B000010 S  
015 C010010 BANGKOK  
015 D010010 THAILAND  
015 E040010 X  
015 A000011 DEUTSCHE BANK  
015 B000011 S  
015 C010011 ISTANBUL  
015 D010011 TURKEY  
015 E040011 X  
015 A000012 THE BANK OF NEW YORK  
015 B000012 C  
015 C010012 NEW YORK  
015 C020012 NY  
015 C030012 10286  
PAGE 3  
015 E010012 X  
015 A000013 CITIBANK N.A.  
015 B000013 S  
015 C010013 LIMA  
015 D010013 PERU  
015 E040013 X  
015 A000014 CITIBANK N.A.  
015 B000014 S  
015 C010014 SAO PAULO  
015 D010014 BRAZIL  
015 E040014 X  
015 A000015 CITITRUST S.A.  
015 B000015 S  
015 C010015 BOGOTA  
015 D010015 COLOMBIA  
015 E040015 X

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015 A000016 ING BANK SLASKI S.A.  
015 B000016 S  
015 C010016 WARSAW  
015 D010016 POLAND  
015 E040016 X  
015 A000017 HONGKONG AND SHANGHAI BANKING CORPORATION  
015 B000017 S  
015 C010017 JAKARTA  
015 D010017 INDONESIA  
015 E040017 X  
015 A000018 BANCO DE CHILE  
015 B000018 S  
015 C010018 SANTIAGO  
015 D010018 CHILE  
015 E040018 X  
015 A000019 HONG KONG AND SHANGHAI BANKING CORPORATION  
015 B000019 S  
015 C010019 SEOUL  
015 D010019 REPUBLIC OF KOREA  
015 E040019 X  
015 A000020 IBTC BANK PLC  
015 B000020 S  
015 C010020 LAGOS  
015 D010020 NIGERIA  
015 E040020 X  
015 A000021 STANDARD BANK OF SOUTH AFRICA  
015 B000021 S  
015 C010021 JOHANNESBURG  
015 D010021 SOUTH AFRICA  
015 E040021 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 BLOOMBERG TRADEBOOK LLC  
020 B000001 13-3880286  
PAGE 4  
020 C000001 4  
021 000000 4  
022 A000001 JP MORGAN CHASE & CO.  
022 B000001 13-2624428  
022 C000001 586831  
022 D000001 632449  
022 A000002 CREDIT SUISSE SECURITIES (USA) LLC  
022 B000002 05-0546650  
022 C000002 246613  
022 D000002 279174  
022 A000003 CITIGROUP GLOBAL MARKETS INC.  
022 B000003 11-2418191  
022 C000003 222406  
022 D000003 232775  
022 A000004 BARCLAYS CAPITAL, INC.  
022 B000004 06-1031656  
022 C000004 146247  
022 D000004 57058  
022 A000005 GOLDMAN SACHS & CO.  
022 B000005 13-5108880  
022 C000005 97566  
022 D000005 50850  
022 A000006 DEUTSCHE BANK SECURITIES, INC.  
022 B000006 13-2730828  
022 C000006 78259  
022 D000006 22365

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022 A000007 NOMURA SECURITIES INTERNATIONAL  
022 B000007 13-2642206  
022 C000007 38228  
022 D000007 33139  
022 A000008 HSBC SECURITIES (USA) INC.  
022 B000008 13-2650272  
022 C000008 19607  
022 D000008 50266  
022 A000009 SANTANDER INVESTMENT SECURITIES, INC.  
022 B000009 13-3777308  
022 C000009 16224  
022 D000009 49525  
022 A000010 JEFFERIES & CO, INC.  
022 B000010 95-2622900  
022 C000010 27651  
022 D000010 30616  
023 C000000 1574596  
023 D000000 1512846  
024 000000 N  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
PAGE 5  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
045 000000 Y  
046 000000 N  
047 000000 Y  
048 000000 1.000  
048 A010000 0  
048 A020000 0.000  
048 B010000 0  
048 B020000 0.000  
048 C010000 0  
048 C020000 0.000  
048 D010000 0  
048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 0  
048 K020000 0.000  
049 000000 N  
050 000000 N  
051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 Y

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054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 N  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 Y  
PAGE 6  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 N  
060 B000000 N  
061 000000 0  
062 A000000 Y  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 45.0  
062 Q000000 86.3  
062 R000000 2.0  
063 A000000 0  
063 B000000 6.7  
064 A000000 N  
064 B000000 Y  
065 000000 N  
066 A000000 N  
067 000000 N  
068 A000000 N  
068 B000000 Y  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N

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|             |         |     |        |
|-------------|---------|-----|--------|
| 070         | F010000 | Y   |        |
| 070         | F020000 | N   |        |
| 070         | G010000 | Y   |        |
| 070         | G020000 | N   |        |
| 070         | H010000 | Y   |        |
|             | PAGE    | 7   |        |
| 070         | H020000 | N   |        |
| 070         | I010000 | Y   |        |
| 070         | I020000 | N   |        |
| 070         | J010000 | Y   |        |
| 070         | J020000 | Y   |        |
| 070         | K010000 | Y   |        |
| 070         | K020000 | Y   |        |
| 070         | L010000 | Y   |        |
| 070         | L020000 | Y   |        |
| 070         | M010000 | Y   |        |
| 070         | M020000 | Y   |        |
| 070         | N010000 | Y   |        |
| 070         | N020000 | N   |        |
| 070         | O010000 | Y   |        |
| 070         | O020000 | Y   |        |
| 070         | P010000 | Y   |        |
| 070         | P020000 | N   |        |
| 070         | Q010000 | N   |        |
| 070         | Q020000 | N   |        |
| 070         | R010000 | Y   |        |
| 070         | R020000 | N   |        |
| 071         | A000000 |     | 700978 |
| 071         | B000000 |     | 692812 |
| 071         | C000000 |     | 440983 |
| 071         | D000000 | 157 |        |
| 072         | A000000 | 12  |        |
| 072         | B000000 |     | 32834  |
| 072         | C000000 |     | 85     |
| 072         | D000000 |     | 0      |
| 072         | E000000 |     | 0      |
| 072         | F000000 |     | 4689   |
| 072         | G000000 |     | 717    |
| 072         | H000000 |     | 0      |
| 072         | I000000 |     | 20     |
| 072         | J000000 |     | 147    |
| 072         | K000000 |     | 0      |
| 072         | L000000 |     | 66     |
| 072         | M000000 |     | 31     |
| 072         | N000000 |     | 0      |
| 072         | O000000 |     | 0      |
| 072         | P000000 |     | 746    |
| 072         | Q000000 |     | 0      |
| 072         | R000000 |     | 95     |
| 072         | S000000 |     | 79     |
| 072         | T000000 |     | 0      |
| 072         | U000000 |     | 0      |
| 072         | V000000 |     | 0      |
| 072         | W000000 |     | 62     |
| 072         | X000000 |     | 6652   |
| 072         | Y000000 |     | 0      |
| 072         | Z000000 |     | 26267  |
|             | PAGE    | 8   |        |
| 072AA000000 |         |     | 0      |
| 072BB000000 |         |     | 17106  |
| 072CC010000 |         |     | 0      |
| 072CC020000 |         |     | 38504  |

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|             |                           |
|-------------|---------------------------|
| 072DD010000 | 29961                     |
| 072DD020000 | 0                         |
| 072EE000000 | 4061                      |
| 073 A010000 | 1.9014                    |
| 073 A020000 | 0.0000                    |
| 073 B000000 | 0.2586                    |
| 073 C000000 | 0.0000                    |
| 074 A000000 | 0                         |
| 074 B000000 | 0                         |
| 074 C000000 | 0                         |
| 074 D000000 | 406212                    |
| 074 E000000 | 0                         |
| 074 F000000 | 0                         |
| 074 G000000 | 0                         |
| 074 H000000 | 0                         |
| 074 I000000 | 6354                      |
| 074 J000000 | 13045                     |
| 074 K000000 | 0                         |
| 074 L000000 | 10040                     |
| 074 M000000 | 9363                      |
| 074 N000000 | 445014                    |
| 074 O000000 | 2907                      |
| 074 P000000 | 361                       |
| 074 Q000000 | 0                         |
| 074 R010000 | 120087                    |
| 074 R020000 | 0                         |
| 074 R030000 | 0                         |
| 074 R040000 | 12384                     |
| 074 S000000 | 0                         |
| 074 T000000 | 309275                    |
| 074 U010000 | 15782                     |
| 074 U020000 | 0                         |
| 074 V010000 | 19.60                     |
| 074 V020000 | 0.00                      |
| 074 W000000 | 0.0000                    |
| 074 X000000 | 6                         |
| 074 Y000000 | 3500                      |
| 075 A000000 | 0                         |
| 075 B000000 | 349704                    |
| 076 000000  | 18.55                     |
| 077 A000000 | Y                         |
| 077 B000000 | Y                         |
| 077 C000000 | N                         |
| 077 D000000 | N                         |
| 077 E000000 | N                         |
| 077 F000000 | N                         |
| 077 G000000 | N                         |
| PAGE 9      |                           |
| 077 H000000 | N                         |
| 077 I000000 | N                         |
| 077 J000000 | N                         |
| 077 K000000 | N                         |
| 077 L000000 | N                         |
| 077 M000000 | N                         |
| 077 N000000 | N                         |
| 077 O000000 | N                         |
| 077 P000000 | N                         |
| 077 Q010000 | N                         |
| 077 Q020000 | N                         |
| 077 Q030000 | N                         |
| 078 000000  | N                         |
| 080 A000000 | FEDERAL INSURANCE COMPANY |

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|           |                     |           |
|-----------|---------------------|-----------|
| 080       | C000000             | 1500      |
| 081       | A000000             | Y         |
| 081       | B000000             | 1         |
| 082       | A000000             | N         |
| 082       | B000000             | 0         |
| 083       | A000000             | N         |
| 083       | B000000             | 0         |
| 084       | A000000             | N         |
| 084       | B000000             | 0         |
| 085       | A000000             | Y         |
| 085       | B000000             | N         |
| 086       | A010000             | 0         |
| 086       | A020000             | 0         |
| 086       | B010000             | 77        |
| 086       | B020000             | 1778      |
| 086       | C010000             | 0         |
| 086       | C020000             | 0         |
| 086       | D010000             | 0         |
| 086       | D020000             | 0         |
| 086       | E010000             | 0         |
| 086       | E020000             | 0         |
| 086       | F010000             | 0         |
| 086       | F020000             | 0         |
| 087       | A010000             | COMMON    |
| 087       | A020000             | 86164T107 |
| 087       | A030000             | EDF       |
| 088       | A000000             | N         |
| 088       | B000000             | N         |
| 088       | C000000             | N         |
| 088       | D000000             | N         |
| SIGNATURE | GINA MEYER          |           |
| TITLE     | ASSISTANT TREASURER |           |