

Amtrust Financial Services, Inc.
Form 4
March 07, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LONGO CHRISTOPHER

2. Issuer Name and Ticker or Trading Symbol
**Amtrust Financial Services, Inc.
[AFSI]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
03/05/2016

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
EVP, Chief Information Officer

C/O AMTRUST FINANCIAL SERVICES, INC., 59 MAIDEN LANE, 43RD FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

NEW YORK, NY 10038

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (1) or (2) Price			
Common Stock	03/05/2016		M	8,708 (1) A (2) \$ 0	626,729	D	
Common Stock	03/05/2016		F	4,344 (3) D 25.73	622,385	D	
Common Stock	03/05/2016		M	7,862 (4) A (5) \$ 0	630,247	D	
Common Stock	03/05/2016		F	3,922 (6) D 25.73	626,325	D	
	03/05/2016		M	\$ 0	631,895	D	

Edgar Filing: Amtrust Financial Services, Inc. - Form 4

Common Stock				5,570	A			
				<u>(7)</u>	<u>(8)</u>			
Common Stock	03/05/2016		F	2,779	D	\$	629,116	D
				<u>(9)</u>		25.73		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Restricted Stock Units <u>(1)</u>	<u>(10)</u>	03/05/2016		M		<u>(11)</u> <u>(11)</u>	Common Stock	8,708
Restricted Stock Units <u>(4)</u>	<u>(10)</u>	03/05/2016		M		<u>(12)</u> <u>(12)</u>	Common Stock	7,862
Restricted Stock Units <u>(7)</u>	<u>(10)</u>	03/05/2016		M		<u>(13)</u> <u>(13)</u>	Common Stock	5,570
Restricted Stock Units	<u>(10)</u>	03/05/2016		A	27,206	<u>(14)</u> <u>(14)</u>	Common Stock	27,206

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

LONGO CHRISTOPHER
C/O AMTRUST FINANCIAL SERVICES, INC.
59 MAIDEN LANE, 43RD FLOOR
NEW YORK, NY 10038

EVP, Chief Information Officer

Signatures

/s/ Christopher
Longo

03/07/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As a result of AmTrust Financial Services, Inc.'s two-for-one stock split, 8,708 restricted stock units granted to the reporting person on March 5, 2013 that had not yet vested on February 2, 2016 became 17,416 restricted stock units.
 - (2) Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2013.
 - (3) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2013.
 - (4) As a result of AmTrust Financial Services, Inc.'s two-for-one stock split, 11,793 restricted stock units granted to the reporting person on March 5, 2014 that had not yet vested on February 2, 2016 became 23,586 restricted stock units.
 - (5) Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2014.
 - (6) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2014.
 - (7) As a result of AmTrust Financial Services, Inc.'s two-for-one stock split, 11,138 restricted stock units granted to the reporting person on March 5, 2015 that had not yet vested on February 2, 2016 became 22,276 restricted stock units.
 - (8) Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2015.
 - (9) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2015.
 - (10) Each restricted stock unit represents a contingent right to receive one share of AmTrust Financial Services, Inc.'s common stock.
On March 5, 2013, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
 - (11) On March 5, 2014, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
 - (12) On March 5, 2015, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
 - (13) On March 5, 2016, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
 - (14)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.