Edgar Filing: Amtrust Financial Services, Inc. - Form 4

Amtrust Financial Services, Inc. \mathbf{T}

Form 4 February 17,	2016										
FORM									OMB AF	PROVAL	
	UNITED	STATES S			ND EX(D.C. 20		NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5	ger STATEN 6. r	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934							Expires:January 31Expires:2009Estimated averageburden hours perresponse0.9		
obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a) of the Pu	ublic Ut	ility Hold		ipany	Act of	1935 or Section	I		
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Caviet Max G			2. Issuer Name and Ticker or Trading Symbol Amtrust Financial Services, Inc.					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O AMTRUST FINANCIAL SERVICES, INC., 59 MAIDEN LANE, 43RD FLOOR			[AFSI] 3. Date of Earliest Transaction (Month/Day/Year) 02/15/2016					Director 10% Owner XOfficer (give title Other (specify below) below) President of Subsidiary			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
NEW YOR	K, NY 10038							Form filed by M Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	Derivative S	Securi	ities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	Code	4. Securit on(A) or Dis (Instr. 3, 4) Amount	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2016			М	12,880 (1)	A (2)	\$ 0	367,770 <u>(3)</u>	D		
Common Stock	02/15/2016			F	6,054 (4)	D	\$ 25.05	361,716	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Amtrust Financial Services, Inc. - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			ivative Expiration Date urities (Month/Day/Year) urited (A) Disposed of tr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (1)	<u>(5)</u>	02/15/2016		М		12,880	<u>(6)</u>	(6)	Common Stock	12,880	

Reporting Owners

Reporting Owner Name / Address	Relationships					
FB	Director	10% Owner	Officer	Other		
Caviet Max G C/O AMTRUST FINANCIAL SERVICES, INC. 59 MAIDEN LANE, 43RD FLOOR NEW YORK, NY 10038			President of Subsidiary			
O'an ature a						

Signatures

/s/ Max Caviet 02/17/2016

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As a result of AmTrust Financial Services, Inc.'s two-for-one stock split, 6,440 restricted stock units granted to the reporting person on (1)February 15, 2012 that had not yet vested on February 2, 2016 became 12,880 restricted stock units.
- Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Caviet on February 15, (2) 2012
- On February 2, 2016, the common stock of AmTrust Financial Services, Inc. split two-for-one, resulting in the reporting person's (3)ownership of 177,445 additional shares of common stock.
- Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of (4)one-fourth of the restricted stock units granted to Mr. Caviet on February 15, 2012.
- Each restricted stock unit represents a contingent right to receive one share of AmTrust Financial Services, Inc.'s common stock. (5)
- On February 15, 2012, Mr. Caviet received restricted stock units subject to a four-year vesting schedule, vesting 25% on the first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested (6) common stock on a one-for-one basis on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: Amtrust Financial Services, Inc. - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.