Cusick Thomas B. Form 4 March 08, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Cusick Thomas B.

2. Issuer Name and Ticker or Trading Symbol

COLUMBIA SPORTSWEAR CO [COLM]

3. Date of Earliest Transaction

(Month/Day/Year) 03/06/2018

C/O COLUMBIA SPORTSWEAR COMPANY, 14375 NW SCIENCE PARK DRIVE

(Middle)

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

**EVP & COO** 

Person

Issuer

below)

Director

X\_ Officer (give title \_

PORTLAND, OR 97229

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acqui	red, Disposed of	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit corr Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/06/2018		M	916 (1)	A	\$ 25.545	42,788	D	
Common Stock	03/06/2018		S	916 (1)	D	\$ 78.25	41,872	D	
Common Stock	03/06/2018		M	3,966 (1)	A	\$ 38.155	45,838	D	
Common Stock	03/06/2018		S	3,966 (1)	D	\$ 78.5	41,872	D	
	03/07/2018		M		A		60,110	D	

3235-0287

**OMB APPROVAL** 

**OMB** 

Number:

Estimated average burden hours per

response... 0.5

> 10% Owner \_\_Other (specify

#### Edgar Filing: Cusick Thomas B. - Form 4

Common Stock			18,238 (1)		\$ 38.155		
Common Stock	03/07/2018	S	18,238 (1)	D	\$ 78.5 (4)	41,872	D
Common Stock	03/07/2018	M	4,630 (5)	A	\$0	46,502	D
Common Stock	03/07/2018	F	2,239 (6)	D	\$ 78.47	44,263	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	iorDerivative Securities		Expiration Date		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
\$ 25.545	03/06/2018		M	91	16 <u>(1)</u>	01/31/2014(2)	01/30/2023	Common Stock	916
\$ 38.155	03/06/2018		M		3,966 (1)	01/30/2015(3)	01/29/2024	Common Stock	3,96
\$ 38.155	03/07/2018		M		8,238 (1)	01/30/2015(3)	01/29/2024	Common Stock	18,2
	Conversion or Exercise Price of Derivative Security  \$ 25.545	Conversion or Exercise Price of Derivative Security  \$ 25.545   03/06/2018  \$ 38.155   03/06/2018	Conversion or Exercise Price of Derivative Security  \$ 25.545   03/06/2018    \$ 38.155   03/06/2018    Execution Date, if any (Month/Day/Year)	Conversion or Exercise Price of Derivative Security  Security  Execution Date, if any (Month/Day/Year) (Instr. 8)  Code V  Code V  \$ 25.545   03/06/2018   M	Conversion or Exercise Price of Derivative Security  \$\$ 25.545	Conversion or Exercise Price of Derivative Security	Conversion or Exercise Price of Derivative Security  Execution Date, if any (Month/Day/Year) Derivative Security  Expiration Date (Month/Day/Year) Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)  Date Exercisable  Code V (A) (D)  Date Exercisable  Code V (A) 01/31/2014(2)  \$ 38.155   03/06/2018   M   3,966 (1) 01/31/2015(3)	Conversion or Exercise Price of Derivative Security   Execution Date, if any (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Code Securities (Instr. 8)   Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)   Date Exercisable   Expiration Date (Month/Day/Year)	Conversion or Exercise Price of Derivative Security   Execution Date, if any (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Code Securities (Instr. 8)   Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)   Date Exercisable   Expiration Date (Instr. 3 and Security   Date   Expiration Date (Instr. 3)    \$ 25.545   03/06/2018   M   916 (II)   01/31/2014(II)   01/30/2023   Common Stock    \$ 38.155   03/07/2018   M   3,966 (II)   01/30/2015(II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/30/2015(II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/30/2015(II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/30/2015(II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/30/2015(II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/30/2015(II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/30/2015(II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,238 (II)   01/29/2024   Common Stock    \$ 38.155   03/07/2018   M   18,

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Cusick Thomas B. C/O COLUMBIA SPORTSWEAR COMPANY 14375 NW SCIENCE PARK DRIVE PORTLAND, OR 97229

**EVP & COO** 

### **Signatures**

Lindsey Kantawee, Attorney-in-Fact

03/08/2018

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction was effected pursuant to a Rule 10b5-1 trading plan.
- (2) On 1/31/13 the reporting person was granted 31,742 stock options. The option grant vests over 4 years with 25% becoming exercisable on each anniversary of the grant date.
- (3) On 1/30/14 the reporting person was granted 22,204 stock options. The option grant vests over 4 years with 25% becoming exercisable on each anniversary of the grant date.
- The price reported in Column 4 is a weighted averge price. These shares were sold in multiple transactions at prices ranging from \$78.50 to \$78.55, inclusive. The reporting person undertakes to provide to Columbia Sportswear Company, any security holder of Columbia Sportswear Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the range set forth in this footnote to this Form 4.
- On 2/27/15, the reporting person was granted a performance restricted stock unit grant for 3,326 target shares. 4,630 shares were earned (5) on 3/7/18, based on achieving 139.2% of certain performance criteria for the period 1/1/15 12/31/17. Restricted stock units vest and settle in shares of the Company's common stock on a one-for-one basis.
- (6) Shares surrendered to the Company as payment to satisfy tax withholding obligation in connection with the vesting of the restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3