

Duke Energy CORP  
 Form 3  
 April 12, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â HENDRIX DENNIS R

(Last) (First) (Middle)

C/O DUKE ENERGY CORPORATION,Â 5400 WESTHEIMER COURT

(Street)

HOUSTON,Â TXÂ 770565310

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/03/2006

3. Issuer Name and Ticker or Trading Symbol  
 Duke Energy CORP [DUK]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
 (give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock <sup>(1)</sup>	202,864	D	Â
Common Stock <sup>(1)</sup>	32,951	I	By Spouse
Common Stock <sup>(1)</sup>	32,951	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Duke Energy CORP - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
LTIP Phantom Stock Grant Feb 2005 <sup>(1)</sup>	Â <sup>(2)</sup>	Â <sup>(3)</sup>	Common Stock	1,456	\$ <sup>(4)</sup>	D	Â
LTIP Phantom Stock Grant May 2005 <sup>(1)</sup>	Â <sup>(2)</sup>	Â <sup>(3)</sup>	Common Stock	540	\$ <sup>(4)</sup>	D	Â
Stock Option (Right to Buy) <sup>(1)</sup>	12/20/2005	12/20/2010	Common Stock	4,000	\$ 42.81	D	Â
Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(5)</sup>	12/19/2011	Common Stock	4,000	\$ 37.68	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HENDRIX DENNIS R C/O DUKE ENERGY CORPORATION 5400 WESTHEIMER COURT HOUSTON, TX 770565310	Â X	Â	Â	Â

## Signatures

By: Judy Z. Mayo,  
Attorney-in-fact for

04/12/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On April 3, 2006, Duke Power Company, LLC, formerly known as Duke Energy Corporation (Predecessor), merged into a wholly-owned

(1) subsidiary of Duke Energy Corporation, formerly known as Duke Energy Holding Corp. (Issuer). In connection with the merger, each share of Predecessor's common stock was converted into the right to receive one share of Issuer's common stock.

(2) The phantom stock vests in 5 equal annual installments beginning on the first anniversary of the grant date.

(3) Expiration date not applicable.

(4) Converts to Common Stock on a 1-for-1 basis.

(5) Final installment of one-fifth of the original grant vests on December 19, 2006; remainder fully vested as of December 19, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.