

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B/A

SWISS HELVETIA FUND, INC.

Form NSAR-B/A

June 30, 2016

000 B000000 12/31/2015
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 B
001 A000000 The Swiss Helvetia Fund, Inc.
001 B000000 811-05128
001 C000000 8007302932
002 A000000 875 Third Avenue, 22nd Floor
002 B000000 New York
002 C000000 NY
002 D010000 10022
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 SCHRODER INVESTMENT MANAGEMENT N.A. INC.
008 B000001 A
008 C000001 801-15834
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10022
008 A000002 SCHRODER INVESTMENT MANAGEMENT N.A. LTD.
008 B000002 S
008 C000002 801-37163
008 D010002 LONDON
008 D050002 UNITED KINGDOM
008 D060002 EC2V 7QA
010 A000001 J.P. MORGAN INVESTOR SERVICES CO.
010 B000001 84-17190
010 C010001 BOSTON
010 C020001 MA
010 C030001 02108
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 PRICewaterhouseCOOPERS LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SCHRODER FUND ADVISORS LLC
014 B000001 8-40973
015 A000001 J.P. MORGAN CHASE BANK
015 B000001 S
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10081
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 UBS Group AG

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020 B000001 13-2932996
 020 C000001 36
 020 A000002 Investment Technology Group
 020 B000002 13-3757717
 020 C000002 21
 020 A000003 Credit Suisse First Boston Corp.
 020 B000003 13-5699485
 020 C000003 21
 020 A000004 Citigroup Global Markets
 020 B000004 13-2771536
 020 C000004 11
 020 A000005 JP Morgan Securities
 020 B000005 04-1867445
 020 C000005 8
 020 A000006 Merrill Lynch
 020 B000006 13-3180818
 020 C000006 8
 020 A000007 Morgan Stanley
 020 B000007 97-1671384
 020 C000007 6
 020 A000008 Barclays Capital Inc.
 020 B000008 13-3551367
 020 C000008 5
 020 A000009 Exane, Inc.
 020 B000009 13-3901039
 020 C000009 3
 020 A000010 Liquidnet, Inc.
 020 B000010 13-4095933
 020 C000010 2
 021 000000 133
 022 A000001 UBS Group AG
 022 B000001 13-2932996
 022 C000001 2606
 022 D000001 0
 022 A000002 Aargauische Kantonalbank
 022 B000002 00-0000000
 022 C000002 1796
 022 D000002 0
 022 A000003 JP MORGAN SECURITIES
 022 B000003 04-1867445
 022 C000003 320
 022 D000003 159
 022 A000004 INSTINET CORP.
 022 B000004 12-0596491
 022 C000004 18
 022 D000004 0
 023 C000000 9315
 023 D000000 4881
 024 000000 Y
 025 A000001 Credit Suisse First Boston Corp.
 025 B000001 13-5699485
 025 C000001 E
 025 D000001 10951
 025 A000002 UBS Group AG
 025 B000002 13-2932996
 025 C000002 E
 025 D000002 15113
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N

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026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 250000
 048 A020000 0.700
 048 B010000 350000
 048 B020000 0.600
 048 C010000 450000
 048 C020000 0.550
 048 D010000 550000
 048 D020000 0.500
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 550000
 048 K020000 0.450
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 N
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0

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062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0
062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	Y
066	D000000	N
066	E000000	N
066	F000000	N
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	Y
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	N

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070	R020000	N	
071	A000000		84714
071	B000000		80065
071	C000000		351988
071	D000000		23
072	A000000	12	
072	B000000		0
072	C000000		7227
072	D000000		0
072	E000000		0
072	F000000		2436
072	G000000		218
072	H000000		0
072	I000000		35
072	J000000		71
072	K000000		0
072	L000000		110
072	M000000		554
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		106
072	R000000		107
072	S000000		302
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		306
072	X000000		4245
072	Y000000		0
072	Z000000		2982
072	AA000000		15645
072	BB000000		0
072	CC010000		0
072	CC020000		10446
072	DD010000		951
072	DD020000		0
072	EE000000		18877
073	A010000		0.0340
073	A020000		0.0000
073	B000000		0.6760
073	C000000		0.0000
074	A000000		12542
074	B000000		0
074	C000000		0
074	D000000		0
074	E000000		3362
074	F000000		332076
074	G000000		0
074	H000000		0
074	I000000		6803
074	J000000		0
074	K000000		0
074	L000000		1271
074	M000000		900
074	N000000		356954
074	O000000		73
074	P000000		199
074	Q000000		0
074	R010000		0
074	R020000		0
074	R030000		0

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074	R040000	12550
074	S000000	0
074	T000000	344132
074	U010000	27979
074	U020000	0
074	V010000	12.30
074	V020000	0.00
074	W000000	0.0000
074	X000000	456
074	Y000000	0
075	A000000	0
075	B000000	366220
076	000000	10.56
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	Federal Insurance Company
080	C000000	0
081	A000000	N
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	1371
086	A020000	15771
086	B010000	40
086	B020000	449
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	THE SWISS HELVETIA FUND, INC.
087	A020000	870875101
087	A030000	SWZ
088	A000000	N
088	B000000	N
088	C000000	N

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088 D000000 N
SIGNATURE ALAN MANDEL
TITLE TREASURER