Burke Edward J. Form 4 February 21, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB 3235-0287

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Burke Edward J.			2. Issuer Name <b>and</b> Ticker or Trading Symbol KEYCORP /NEW/ [KEY]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Sheen an applicable)		
C/O KEYCORP, 127 PUBLIC SQUARE			(Month/Day/Year) 02/17/2018	Director 10% Owner _X Officer (give title Other (specify below)  Co-Pres., Key Community Bank		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
CLEVELAND, OH 44114			Filed(Month/Day/Year)			

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative :	Secur	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or(A) or Dir (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	02/17/2018		M	57,883	A	( <u>1</u> )	157,078	D	
Common Shares	02/17/2018		F	24,833	D	\$ 21.02	132,245	D	
Common Shares	02/19/2018		A	42,654	A	<u>(2)</u>	174,899	D	
Common Shares	02/19/2018		F	19,344	D	\$ 21.02	155,555	D	
Common Shares							6,728 (3)	I	401(k) Plan

#### Edgar Filing: Burke Edward J. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of tiorDerivative Securities Acquired ) (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Restricted Stock Units	(1)	02/17/2018		M		9,473	<u>(4)</u>	<u>(4)</u>	Common Shares	9,4 (5
Restricted Stock Units	<u>(1)</u>	02/17/2018		M		30,665	<u>(6)</u>	(6)	Common Shares	30,0
Restricted Stock Units	(1)	02/17/2018		M		10,478	(8)	(8)	Common Shares	10,4
Restricted Stock Units	(1)	02/17/2018		M		7,267	(10)	(10)	Common Shares	7,2
Restricted Stock Units	(1)	02/19/2018		A	27,592		(12)	(12)	Common Shares	27,
Option to Buy	\$ 21.02	02/19/2018		A	23,437		(13)	02/19/2028	Common Shares	23,4

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Burke Edward J. C/O KEYCORP 127 PUBLIC SQUARE CLEVELAND, OH 44114			Co-Pres., Key Community Bank				

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### **Signatures**

Carrie A. Benedict POA for Edward J.

Burke
02/21/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive one KeyCorp common share at vesting.
- These shares were received for no consideration upon the satisfaction of certain performance criteria underlying the performance share units granted on February 16, 2015.
- (3) Reported as of January 26, 2018.
- (4) These restricted stock units, granted on February 17, 2014, vested in four equal annual installments ending on February 17, 2018.
- (5) Includes approximately 190 dividend-equivalent restricted stock units accrued between March and December 2017.
- (6) The restricted stock units, granted on February 16, 2015, vest in four equal annual installments beginning on February 17, 2016.
- (7) Includes approximately 1,231 dividend-equivalent restricted stock units accrued between March and December 2017.
- (8) The restricted stock units, granted on February 15, 2016, vest in four equal annual installments beginning on February 17, 2017.
- (9) Includes approximately 631 dividend-equivalent restricted stock units accrued between March and December 2017.
- (10) The restricted stock units, granted on February 20, 2017, vest in four equal annual installments beginning on February 17, 2018.
- (11) Includes approximately 584 dividend-equivalent restricted stock units accrued between March and December 2017.
- (12) The restricted stock units, granted on February 19, 2018, vest in four equal annual installments beginning on February 17, 2019.
- (13) The options to buy, granted on February 19, 2018, vest in four equal annual installments beginning on February 17, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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