

Molinaroli Alex A  
Form 4  
January 25, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Molinaroli Alex A

(Last) (First) (Middle)  
5757 N. GREEN BAY AVENUE, P.O. BOX 591  
(Street)

MILWAUKEE, WI 53201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)

01/23/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	01/23/2013		A		21,800	A	11
Common Stock					46,611.761	I	(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount
Employee Stock Option (Right to Buy)	\$ 30.73	01/23/2013		A	65,100	01/23/2015 <sup>(3)</sup>	01/23/2023	Common Stock	6
Phantom Stock Units - Restricted Stock Plan	\$ 0 <sup>(1)</sup>					<sup>(4)</sup>	<sup>(4)</sup>	Common Stock	72
Phantom Stock Units - Retirement Restoration Plan	<sup>(1)</sup>					<sup>(6)</sup>	<sup>(6)</sup>	Common Stock	14,
Phantom Stock Units - Annual Incentive Plan	<sup>(1)</sup>					<sup>(8)</sup>	<sup>(8)</sup>	Common Stock	38,
Phantom Stock Units - Long-Term Incentive Plan	<sup>(1)</sup>					<sup>(10)</sup>	<sup>(10)</sup>	Common Stock	18,
Employee Stock Option (Right to Buy)	\$ 23.965					10/02/2008	10/02/2016	Common Stock	9
Employee Stock Option (Right to	\$ 40.21					10/01/2009	10/01/2017	Common Stock	9

Buy)

Employee  
Stock

Option \$ 28.79  
(Right to  
Buy)

10/01/2010 10/01/2018

Common  
Stock

Employee  
Stock

Option \$ 24.87  
(Right to  
Buy)

10/01/2011 10/01/2019

Common  
Stock

Employee  
Stock

Option \$ 30.54  
(Right to  
Buy)

10/01/2012<sup>(3)</sup> 10/01/2020

Common  
Stock

Employee  
Stock

Option \$ 28.54  
(Right to  
Buy)

10/07/2013<sup>(3)</sup> 10/07/2021

Common  
Stock

Employee  
Stock

Option \$ 27.85  
(Right to  
Buy)

10/05/2014<sup>(3)</sup> 10/05/2022

Common  
Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Molinaroli Alex A 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201			Vice Chairman	

## Signatures

Angela M. Blair, Attorney-in-Fact for Alex A.  
Molinaroli

01/25/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.

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- (2) The number of underlying securities is based on the stock fund balance on January 3, 2013. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a January 3, 2013, stock fund price of \$31.80 per share.
- (3) Fifty percent of the options vest after two years and the remaining 50% vests after three years.
- (4) The restricted stock units accrue under the Johnson Controls Restricted Stock Plan. The balance includes phantom stock and dividend equivalent units that settle 100% in cash and relate to restricted stock awards.
- (5) The balance includes 523.227 phantom stock units acquired through the reinvestment of dividends on December 28, 2012 at \$30.11 per phantom stock unit.
- (6) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan, and are to be settled 100% in cash following the reporting person's termination of employment with the company.
- (7) The balance includes 93.931 phantom stock units acquired through the reinvestment of dividends on December 28, 2012 at \$30.11 per phantom stock unit.
- (8) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash following the reporting person's termination of employment with the company.
- (9) The balance includes 207.606 phantom stock units acquired through the reinvestment of dividends on December 28, 2012 at \$30.11 per phantom stock unit.
- (10) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash following the reporting person's termination of employment with the company.
- (11) The balance includes 74.997 phantom stock units acquired through the reinvestment of dividends on December 28, 2012 at \$30.11 per phantom stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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