## Edgar Filing: MENG TERESA H - Form 3

MENG TERESA H Form 3 October 17, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MENG TERESA H

(Last) (Middle)

(First)

Statement

(Month/Day/Year)

10/15/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Other

AMBARELLA INC [AMBA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

3101 JAY STREET

(Street)

(Check all applicable)

(give title below) (specify below)

6. Individual or Joint/Group 10% Owner

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SANTA CLARA, Â CAÂ 95054

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

\_X\_ Director

Officer

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5.

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

Expiration Date

Exercisable Date

Amount or Title Number of

Shares

Derivative Security

Price of

Derivative Security: Direct (D) or Indirect

(I) (Instr. 5)

1

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Restricted Stock Units  $\hat{A} \stackrel{(1)}{=} 09/15/2019 \stackrel{Ordinary}{Shares} 5,248 \quad \$ \stackrel{(2)}{=} D \quad \hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MENG TERESA H 3101 JAY STREET SANTA CLARA, CAÂ 95054

 $\hat{A} X \qquad \hat{A} \qquad \hat{A} \qquad \hat{A}$ 

**Signatures** 

By: /s/ Michael Morehead, Attorney in Fact For: Teresa
Meng
10/17/2018

\*\*Signature of Reporting Person Date

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September 15, 2018, so as to be 100% vested on September 15, 2019.

(2) Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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