Edgar Filing: EICHLER KEVIN C - Form 4

EICHLER	KEVIN C										
Form 4											
September	06, 2018										
FOR			CECU			CHANCE		NT	PPROVAL		
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								N OMB Number:	3235-0287		
	this box							Expires:	January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated	2005 average			
Section 16. SECURITIES							burden hou	0			
Form 4 Form 5	Form 4 or							response	. 0.5		
obligat	ions Filed pu						nge Act of 1934,				
may co				•	•	- ·	of 1935 or Secti	on			
	truction	30(n)	of the fi	ivestment	Compar	ny Act of 1	940				
1(b).											
(Print or Type	e Responses)										
1. Name and	Address of Reporting	g Person <u>*</u>	2. Issue	er Name and	l Ticker or	Trading	5. Relationship	of Reporting Per	rson(s) to		
EICHLER	KEVIN C		Symbol	-			Issuer				
			AMBA	RELLA I	NC [AM	IBA]	(Ch	eck all applicabl	e)		
(Last)	(Last) (First) (Middle) 3. Date o			Pate of Earliest Transaction			(Check an applicable)				
			(Month/Day/Year)			Director 10% Owner					
3101 JAY	STREET		09/04/2	09/04/2018			X Officer (gi below)		title Other (specify below)		
								CFO			
	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check							
			Filed(Mo	nth/Day/Yea	r)		Applicable Line)				
							X Form filed by Form filed by	One Reporting P More than One R			
SANTAC	LARA, CA 95054	4					Person		-F8		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of	2. Transaction Date			3.	4. Securit		5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)		TransactionAcquired (A Code Disposed of (Instr. 8) (Instr. 3, 4 a			Securities	Form: Direct	Indirect		
(Instr. 3)							Beneficially Owned	(D) or indirect (I)	t Beneficial Ownership		
		(111011111)21	.j, 1 cm)	(1115411-0)	(1115111-0)		Following	(Instr. 4)	(Instr. 4)		
						(A)	Reported				
						or	Transaction(s) (Instr. 3 and 4)				
				Code V	Amount	(D) Price	(insure and i)				
Reminder: R	eport on a separate lin	e for each cl	ass of sec	urities benef	ficially ow	ned directly of	or indirectly.				
							pond to the colle		SEC 1474		
					inform	nation cont	ained in this forn	n are not	(9-02)		

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amou
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Secur
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: EICHLER KEVIN C - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nur Sha
Non-Qualified Stock Option (right to buy)	\$ 38.11	09/04/2018		А	20,000		<u>(1)</u>	09/03/2028	Ordinary Shares	20
Restricted Stock Units	<u>(2)</u>	09/04/2018		А	100,000		(3)	09/15/2022	Ordinary Shares	10

Reporting Owners

Reporting Owner Name / Address									
1	Director	10% Owner	Officer	Other					
EICHLER KEVIN C 3101 JAY STREET SANTA CLARA, CA 95054			CFO						
Signatures									
By: /s/ Michael Morehead, Atte Eichler	09/06/2018								

<u>**Signature of Reporting Person</u> Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) 25% of the Shares subject to the option vest on August 6, 2019 and 1/48 of the shares vest monthly thereafter.

(2) Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

(3) The RSUs vest as to 1/4 of the RSUs on September 15, 2019 and 1/16 of the RSUs will vest each three months thereafter such that the RSUs are 100% vested on September 15, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.