AMBARELLA INC

Form 4

December 18, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Laplante George Issuer Symbol AMBARELLA INC [AMBA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 3101 JAY STREET 12/16/2014 below) Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

#### SANTA CLARA, CA 95054

(State)

(Zip)

(City)

(City) (St	Ta	ble I - Non-I	<b>Derivative</b>	Secur	rities Acq	uired, Disposed of	f, or Beneficial	ly Owned
	nsaction Date 2A. Deemed ch/Day/Year) Execution Date, if any (Month/Day/Year)	Code ) (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary 12/16	6/2014	Code V M	Amount 8,412	(D)	Price (1)	25,427	D	
Shares Ordinary Shares 12/16	5/2014	S(2)	4,451	D	\$ 46.15	20,976 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivati Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	ative Expiration Date es (Month/Day/Year) d		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	12/16/2014		M	694	. (4)	08/27/2022(4)	Ordinary Shares	694
Restricted Stock Units	(1)	12/16/2014		M	2,25	0 (5)	08/26/2023(5)	Ordinary Shares	2,250
Restricted Stock Units	(1)	12/16/2014		M	2,62	5 (6)	08/27/2023 <u>(6)</u>	Ordinary Shares	2,625
Restricted Stock Units	<u>(1)</u>	12/16/2014		M	2,84	3 (8)	09/07/2024(8)	Ordinary Shares	2,843

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Lanlante George							

3101 JAY STREET SANTA CLARA, CA 95054 Chief Financial Officer

## **Signatures**

By: /s/ Michael Morehead, Attorney in Fact For: George
Laplante

12/18/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.
- (2) Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

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- (3) 31,500 RSUs previously included in this Column as ordinary shares, are now being reported in Table II, as RSUs.
- (4) The restricted stock units vest at the rate of 1/16 per quarter beginning on September 15, 2012.
- (5) The RSUs vested as to 1/8 of the RSUs on June 15, 2014 and 1/8 of the RSU's will vest each three months thereafter such that the RSUs are 100% vested on March 15, 2016.
- (6) The restricted stock units vest at the rate of 1/16 per quarter beginning on September 15, 2013.
- (7) 31,500 RSUs previously included in Column 5 of Table I, as ordinary shares, are now being reported in this column, as RSUs.
- (8) The restricted stock units vest at the rate of 1/16 per quarter beginning on September 15, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.