

HASSELL GERALD L

Form 4

September 07, 2011

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HASSELL GERALD L

(Last) (First) (Middle)

THE BANK OF NEW YORK  
MELLON CORPORATION, ONE  
WALL STREET

(Street)

NEW YORK, NY 10286

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Bank of New York Mellon CORP  
[BK]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/02/2011

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman, President & CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/02/2011		P	V 50,000 A \$ 20.0661 (1)	556,549.9507 (2)	D	
Common Stock	05/09/2011		G	V 20,902 D \$ 0	0	I	By GRAT 2009 (3)
Common Stock					92,882	I	By GRAT 2009-2

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Common Stock	105,630	I	By GRAT 2010-1
Common Stock	120,000	I	By GRAT 2010-2
Common Stock	14,269	I	By Family Trust
Common Stock	14,269	I	By Second Family Trust
Common Stock	49,838.0309 (4)	I	By 401(k) Plan
Common Stock	56,604	I	By Wife (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Reporting Owners

HASSELL GERALD L  
THE BANK OF NEW YORK MELLON  
CORPORATION  
ONE WALL STREET  
NEW YORK, NY 10286

X

Chairman, President &  
CEO

## Signatures

/s/ Arlie R. Nogay,  
Attorney-in-Fact

09/07/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the weighted average price of shares purchased with actual prices ranging from \$20.02 to \$20.09. Upon request by the SEC staff, the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares purchased at each separate price within this range.

- (1) Includes 44,363 shares, 62,369 shares and 44,370 shares previously held in GRAT 2009, GRAT 2009-2 and GRAT 2010-1, respectively, which were distributed to the Reporting Person and are held directly.
- (2) Upon termination of GRAT 2009, 20,902 shares were transferred to the beneficiaries of the GRAT and the remaining 44,363 shares were distributed to the Reporting Person and are reported as directly held.
- (3) Holdings reported as of 6/30/2011.
- (4) I disclaim beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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