Sivaram Srinivasan Form 4 May 30, 2018

# FORM 4

Form 4 or

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sivaram Srinivasan

(First)

2. Issuer Name and Ticker or Trading Symbol

WESTERN DIGITAL CORP

[WDC]

(Middle)

(Month/Day/Year)

C/O WESTERN DIGITAL

3. Date of Earliest Transaction

05/29/2018

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

EVP, Memory Technology

CORPORATION, 5601 GREAT OAKS PARKWAY

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN JOSE, CA 95119

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitic comor Dispose (Instr. 3, 4	ed of (	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	05/29/2018		M(1)	25,362	A	\$ 44.78	110,910.498	D			
Common Stock	05/29/2018		M(1)	6,322	A	\$ 30.2	117,232.498	D			
Common Stock	05/29/2018		M(1)	12,139	A	\$ 36.87	129,371.498	D			
Common Stock	05/29/2018		M(1)	18,968	A	\$ 40.63	148,339.498	D			
	05/29/2018		S(1)	2,700	D		145,639.498	D			

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Common Stock \$86.7548  $\frac{(2)}{(2)}$  \$ \$ \$ \$6.3415 \$84,460.498 D Stock Sign of the stock  $\frac{(3)}{(3)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of inDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (right to buy)	\$ 30.2	05/29/2018		M(1)	6,322	06/28/2016(4)	06/27/2020	Common Stock	6,32
Employee Stock Option (right to buy)	\$ 36.87	05/29/2018		M(1)	12,139	05/18/2016 <u>(5)</u>	02/17/2021	Common Stock	12,1
Employee Stock Option (right to buy)	\$ 40.63	05/29/2018		M(1)	18,968	05/17/2016 <u>(6)</u>	02/16/2022	Common Stock	18,9
Employee Stock Option (right to buy)	\$ 44.78	05/29/2018		M(1)	25,362	08/03/2017(7)	08/03/2023	Common Stock	25,3

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sivaram Srinivasan C/O WESTERN DIGITAL CORPORATION 5601 GREAT OAKS PARKWAY SAN JOSE, CA 95119

EVP, Memory Technology

# **Signatures**

By: /s/ Sandra Garcia Attorney-in-Fact For: Srinivasan Sivaram

05/30/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 2, 2017, as amended on February 26, 2018.
- Represents the weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from a low of (2) \$86.695 to a high of \$86.90. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
- Represents the weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from a low of \$85.695 to a high of \$86.69. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
- (4) The option vests in substantially equal installments at the end of each three-month period through June 28, 2017. The first installment became exercisable on June 28, 2016.
- (5) The option vests in substantially equal installments at the end of each three-month period through February 18, 2018. The first installment became exercisable on May 18, 2016.
- (6) The option vests in substantially equal installments at the end of each three-month period through February 17, 2019. The first installment became exercisable on May 17, 2016.
- The option vested 25% one year from the grant date of 8/3/2016, and an additional 6.25% vested at the end of each three-month period through 5/3/2018. The remaining shares subject to the option will vest at 6.25% at the end of each three-month period until fully vested on 8/3/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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