

IRIDEX CORP  
Form 4  
June 18, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Mackaness James H

(Last) (First) (Middle)  
1212 TERRA BELLA AVENUE  
(Street)

MOUNTAIN VIEW, CA 94043

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
IRIDEX CORP [IRIX]

3. Date of Earliest Transaction (Month/Day/Year)  
06/14/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
CFO and COO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/14/2013		M		1,057	A	\$ 2.49
Common Stock	06/14/2013		S <sup>(1)</sup>		1,057	D	\$ 5.4001
Common Stock	06/14/2013		M		6,114	A	\$ 2.49
Common Stock	06/14/2013		S <sup>(1)</sup>		6,114	D	\$ 5.3739
Common Stock	06/14/2013		M		3,057	A	\$ 0.9

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Common Stock	06/14/2013	S <sup>(1)</sup>	3,057	D	\$ 5.3739	18,743	D
Common Stock	06/17/2013	M	255	A	\$ 2.49	36,459	D
Common Stock	06/17/2013	S <sup>(1)</sup>	255	D	\$ 5.5	36,204	D
Common Stock	06/17/2013	M	85	A	\$ 0.9	18,743	D
Common Stock	06/14/2013	S <sup>(1)</sup>	85	D	\$ 5.5	18,658	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option Right to Buy <sup>(2)</sup>	\$ 2.49	06/14/2013		M	1,057	02/02/2008 <sup>(3)</sup> 01/02/2015	Common Stock	1,057
Stock Option Right to Buy <sup>(2)</sup>	\$ 2.49	06/14/2013		M	6,114	02/02/2008 <sup>(3)</sup> 01/02/2015	Common Stock	6,114
Stock Option Right to Buy <sup>(2)</sup>	\$ 0.9	06/14/2013		M	3,057	01/01/2009 <sup>(3)</sup> 12/11/2015	Common Stock	3,057
Stock Option	\$ 2.49	06/17/2013		M	255	02/02/2008 <sup>(3)</sup> 01/02/2015	Common Stock	255

Right to  
Buy <sup>(2)</sup>Stock  
Option  
Right to  
Buy <sup>(4)</sup>

\$ 5.38

06/17/2013

M

85

01/11/2009<sup>(3)</sup>

12/11/2015

Common  
Stock

85

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mackaness James H 1212 TERRA BELLA AVENUE MOUNTAIN VIEW, CA 94043			CFO and COO	

## Signatures

/s/ Susan Bruce, Attorney-in-Fact for James H.  
Mackaness

06/18/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sale reported in this Form 4 was pursuant to the Reporting Person's Rule 10b5-1 Selling Plan effective March 5, 2013.
- (2) This option was granted pursuant to IRIDEX Corporation's incentive 1998 Stock Plan and is exempt pursuant to Rule 16b-3.
- (3) The shares are subject to vesting according to the following schedule: 1/48th of the total number of shares vest each month.
- (4) This option was granted pursuant to IRIDEX Corporation's 2008 Equity Incentive Plan and is exempt to Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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