DIODES INC /DEL/

Form 4

August 22, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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January 31, Expires: 2005

**OMB APPROVAL** 

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subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Greene Colin

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First) (Middle) DIODES INC /DEL/ [DIOD]

(Check all applicable)

(Street)

4949 HEDGCOXE ROAD, SUITE

3. Date of Earliest Transaction

(Month/Day/Year)

08/20/2013

Director 10% Owner

European President/VP EU Sls

Officer (give title below)

Other (specify

200

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

PLANO, TX 75024

(City)	(State) (Zi	Table I	- Non-Der	ivative Sec	curitie	es Acquirec	l, Disposed of, o	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Diodes Incorporated Common Stock	08/20/2013		M	4,500	A	\$ 15.05	20,356	D	
Diodes Incorporated Common Stock	08/20/2013		M	3,375	A	\$ 19.28	23,731	D	
Diodes Incorporated Common Stock	08/20/2013		M	1,125	A	\$ 19.27	24,856	D	

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Diodes

Stock

Incorporated O8/20/2013  $S_{\underline{}}^{(5)}$  14,806 D  $S_{\underline{}}^{(5)}$  10,050 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A C N C S
05/28/09 DIOD NQSO	\$ 15.05	08/20/2013		M	4,500	05/28/2010(2)	05/28/2019	Diodes Incorporated Common Stock	
05/24/2010 DIOD NQSO	\$ 19.28	08/20/2013		M	3,375	05/24/2011(3)	05/24/2020	Diodes Incorporated Common Stock	
05/21/12 DIOD NQSO	\$ 19.27	08/20/2013		M	1,125	05/26/2013 <u>(4)</u>	05/21/2022	Diodes Incorporated Common Stock	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Greene Colin 4949 HEDGCOXE ROAD SUITE 200 PLANO, TX 75024

European President/VP EU Sls

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### **Signatures**

Richard D. White as Power of Attorney for Colin Greene

08/22/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted under Rule 16b-3 Plan.
- (2) Non-qualified stock options exercisable in four equal annual installments beginning 05/28/2010.
- (3) Non-qualified stock options exercisable in four equal annual installments beginning 05/24/2011.
- (4) Non-qualified stock options exercisable in four equal annual installments beginning 05/26/2013.
  - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$25 to \$25.24, inclusive. The reporting person undertakes to provide to Diodes Incorporated, any security holder of Diodes Incorporated, or the
- (5) \$25.24, inclusive. The reporting person undertakes to provide to Diodes incorporated, any security notice of Diodes incorporated, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (5) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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