

ROCKWELL AUTOMATION INC

Form 4

December 04, 2006

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
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subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EISENBROWN STEVEN A2. Issuer Name and Ticker or Trading  
SymbolROCKWELL AUTOMATION INC  
[ROK]5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

1201 SOUTH SECOND STREET

(Street)

MILWAUKEE, WI 53204

3. Date of Earliest Transaction  
(Month/Day/Year)

11/30/2006

4. If Amendment, Date Original  
Filed(Month/Day/Year)\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Sr. Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price                                                                                         |                                                          |                                                       |
| Common Stock                    |                                      |                                                    |                                |                                                                   |        |            | 5,251.24                                                                                      | I                                                        | By Savings Plan <sup>(1)</sup>                        |
| Common Stock                    | 11/30/2006                           |                                                    | S                              |                                                                   | 300    | D          | \$ 63.69                                                                                      | 27,001                                                   | D                                                     |
| Common Stock                    | 11/30/2006                           |                                                    | S                              |                                                                   | 711    | D          | \$ 63.64                                                                                      | 26,290                                                   | D                                                     |
| Common Stock                    | 11/30/2006                           |                                                    | S                              |                                                                   | 100    | D          | \$ 63.62                                                                                      | 26,190                                                   | D                                                     |
| Common Stock                    | 11/30/2006                           |                                                    | S                              |                                                                   | 200    | D          | \$ 63.61                                                                                      | 25,990                                                   | D                                                     |

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|              |            |   |                    |   |          |        |   |
|--------------|------------|---|--------------------|---|----------|--------|---|
| Common Stock | 11/30/2006 | S | 1,100              | D | \$ 63.59 | 24,890 | D |
| Common Stock | 11/30/2006 | S | 1,000              | D | \$ 63.58 | 23,890 | D |
| Common Stock | 11/30/2006 | S | 700                | D | \$ 63.55 | 23,190 | D |
| Common Stock | 11/30/2006 | S | 400                | D | \$ 63.54 | 22,790 | D |
| Common Stock | 11/30/2006 | S | 803                | D | \$ 63.53 | 21,987 | D |
| Common Stock | 11/30/2006 | S | 200                | D | \$ 63.51 | 21,787 | D |
| Common Stock | 11/30/2006 | S | 2,000              | D | \$ 63.5  | 19,787 | D |
| Common Stock | 11/30/2006 | S | 100                | D | \$ 63.49 | 19,687 | D |
| Common Stock | 11/30/2006 | S | 1,000              | D | \$ 63.48 | 18,687 | D |
| Common Stock | 11/30/2006 | S | 400                | D | \$ 63.47 | 18,287 | D |
| Common Stock | 11/30/2006 | S | 1,500              | D | \$ 63.46 | 16,787 | D |
| Common Stock | 11/30/2006 | S | 600                | D | \$ 63.45 | 16,187 | D |
| Common Stock | 11/30/2006 | S | 200                | D | \$ 63.65 | 15,987 | D |
| Common Stock | 11/30/2006 | S | 100                | D | \$ 63.66 | 15,887 | D |
| Common Stock | 11/30/2006 | S | 400                | D | \$ 63.67 | 15,487 | D |
| Common Stock | 11/30/2006 | S | 100 <sup>(2)</sup> | D | \$ 63.68 | 15,387 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repor<br>Trans<br>(Instr |       |                                        |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|-------|----------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                                  | (A)                                                            | (D)                                                                       | Date<br>Exercisable                                 | Expiration<br>Date                                                          | Title | Amount<br>or<br>Number<br>of<br>Shares |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships                    |
|------------------------------------------------------------------------|----------------------------------|
|                                                                        | Director 10% Owner Officer Other |
| EISENBROWN STEVEN A<br>1201 SOUTH SECOND STREET<br>MILWAUKEE, WI 53204 | Sr. Vice President               |

## Signatures

Karen A. Balistreri, Attorney-in-Fact for Steven A. Eisenbrown 12/04/2006

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares represented by Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 10/31/2006.

(2) 3,400 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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