ALIGN TECHNOLOGY INC

Form 4 May 04, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average

OMB APPROVAL

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person *

GEORGE ROGER E

2. Issuer Name and Ticker or Trading

Symbol

ALIGN TECHNOLOGY INC

(Middle)

[ALGN]

(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O ALIGN TECHNOLOGY

INC, 2650 ORCHARD PARKWAY

(Street)

(State)

(First)

3. Date of Earliest Transaction

05/01/2015

10% Owner Director Other (specify X_ Officer (give title below) below)

VP, Legal Affairs & GC

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

tivo Commities Assuring

SAN JOSE, CA 95131

| (5) | () | Tab | le I - Non- | Derivative | Secui | rities Acquir | ed, Disposed of, | or Beneficiali | y Owned |
|--------------------------------------|---|---|---|--|--------|----------------|---|---|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) tiomr Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | ecurities Ownership eneficially Form: bwned Direct (D) ollowing or Indirect eported (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common | 05/01/2015 | 05/01/2015 | Code V | Amount 2,563 | or (D) | Price \$ 20.79 | Transaction(s) (Instr. 3 and 4) 23,248 | (Instr. 4) | |
| Stock Common Stock | 05/01/2015 | 05/01/2015 | S | 23,248 | D | \$ 58.6892 | 0 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Right to Buy (Common Stock) | \$ 20.79 | 05/01/2015 | 05/01/2015 | M | 2,563 | 02/18/2012 | 02/18/2018 | Common Stock | 2,563 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GEORGE ROGER E C/O ALIGN TECHNOLOGY INC 2650 ORCHARD PARKWAY SAN JOSE, CA 95131

VP, Legal Affairs & GC

Signatures

Roger E. George 05/04/2015

**Signature of Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$58.55 to \$59.02, inclusive. The reporting person undertakes to provide Align Technology, Inc., any security holder of Align Technology, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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